

# **EXHIBIT A**

IN THE HIGH COURT OF JUSTICE  
QUEEN'S BENCH DIVISION  
COMMERCIAL COURT

Claim no: 2009 Folio 1099

Before Mr Justice Teare

Dated 6 August 2010 (as amended on 10th November 2010, 26th January 2011, 8th April 2011, 27 May 2011, 9 June 2011, 8 March 2012, 24 April 2012, 22 May 2012, 9 August 2012 and 23 August 2012)

IN PRIVATE

B E T W E E N:

JSC BTA BANK

Claimant/Applicant

and

(1) MUKHTAR ABLYAZOV

First Defendant/Respondent

(2) ROMAN SOLODCHENKO

(3) ZHAKSYLYK ZHARIMBETOV

(4) DREY ASSOCIATES LIMITED

(5) ANTHONY EDWARD THOMAS STROUD

(6) JOHN DOMINIC WILSON

(7) SARAH JULIET WILSON

(8) INTERFUNDING FACILITIES LIMITED

(9) ALEXANDER UDOVENKO

(10) OOO MARION PLUS

(11) OOO TETROCONSULT

(12) OOO KHUDRED-SERVICE 5

(13) OOO TRAIKER

(14) OOO STEK-1000

(15) OOO AMK-INVEST

(16) TURANALEM CAPITAL LLC

(17) ZRL BETEILIGUNGS LLC

Defendants



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ORDER

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If you Mukhtar Ablyazov disobey this order and/or interfere with the Receivers exercising their powers or performing their duties under this order you may be held to be in contempt of court and may be imprisoned, fined or have your assets seized.

Any other person who knows of this order and does anything which helps or permits Mukhtar Ablyazov or any other person to breach its terms may also be held to be in contempt of court and may be imprisoned, fined or have their assets seized.

Upon the First Defendant by his solicitors, without prejudice to his application to the Supreme Court for permission to appeal against the making of this Order, not opposing the variations made within this Order to Schedules 1 and 3 of the Order made by Mr Justice Teare on 6 August 2010 (as varied by paragraph 1 of the Order of the Court of Appeal dated 5 November 2010, and excluding paragraphs 28 (permission to appeal) and 29 (stay) and Schedule 2(2) (First Defendant's undertakings) thereof)

And upon the Court reading the written evidence set out in Schedule 1 to this Order

And upon the Court accepting the undertakings set out in Schedule 2 to this Order

And upon the Court on 8 April 2011 hearing the without notice application of the Claimant for an extension of this order ("the **April Extension Application**")

And upon the Court on 27 May 2011 hearing the without notice application of the Claimant for an extension of this order ("the **May Extension Application**")

And upon the Court on 8 March 2012 hearing the without notice application of the Claimant seeking variations to this order ("the **March 2012 Application**")

And upon the Court on 24 April 2012 hearing the without notice application of the Claimant seeking variations to this order ("the **April 2012 Application**")

And upon hearing Counsel for the Claimant

And upon the following terms having the following meanings for the purposes of this Order:-

<b>"The Freezing Order"</b>	shall mean the Order of Mr Justice Blair made herein on 13 August 2009 as continued by the Order of Mr Justice Teare made on 12 November 2009 and as amended from time to time thereafter (in particular by Orders made on 11 December 2009, 29 March, 6 May 2010, 16 August and 5 October 2010 and 26 January, 8 April, 27 May 2011 and 26 March 2012)
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<b>"Direct Assets"</b>	shall mean any assets held by a company listed in either Schedule 3A, 3B, 3C or 3D in its own name
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<b>"Indirect Assets"</b>	shall mean any assets either (i) held for or on behalf of a company listed in either Schedule 3A, 3B, 3C or 3D or (ii) held by or for a subsidiary (as defined by s 1159 of the Companies Act 2006) of a company listed in Schedule 3A, 3B, 3C or 3D
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<b>"The Disclosed Assets"</b>	shall mean all the legal and beneficial interests in the assets set out in Schedule 3 to this Order being assets of the First Defendant disclosed by him to the Claimant in the course of this claim to the date hereof pursuant to the disclosure provisions of the Freezing Order including for the avoidance of doubt, the legal and beneficial interests, direct or indirect, in each of the companies in the structures
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holding such assets identified in Schedule 3

**"The Undisclosed Assets"** shall mean all the legal and beneficial interests in the shares in, and (from the moment of service of this order on Mr Ablyazov's solicitors) the Direct and Indirect Assets of, the companies listed in Schedule 3A hereto

**"The Further Undisclosed Assets"** shall mean all the legal and beneficial interests in the shares in, and (from the moment of service of this order on Mr Ablyazov's solicitors) the Direct and Indirect Assets of, the companies listed in Schedule 3B hereto

**"The Additional Undisclosed Assets"** shall mean all the legal and beneficial interests in the shares in, and (from the moment of service of this order on Mr Ablyazov's solicitors) the Direct and Indirect Assets of, the companies listed in Schedule 3C hereto.

**"The Extra Undisclosed Assets"** shall mean all the legal and beneficial interests in the shares in, and the Direct and Indirect Assets of, the companies listed in Schedule 3D hereto.

**"The UK Properties"** shall mean those properties listed at paragraph 5A(b)(i), (ii) and (iv) of the Freezing Order

**"Reserved Assets"** shall mean those properties listed at paragraph 5A(b)(iii) and (v) of the Freezing Order

**"Valenora Proceedings"** shall mean proceedings instituted in the Larnaca District Court, Cyprus by Valenora Co Limited against Usarel Investments Limited under Action Number 672/2012

**IT IS ORDERED THAT:**

#### **APPOINTMENT OF RECEIVERS**

1. Save for the Reserved Assets, Jeremy Outen, David Standish and John Milsom, partners of KPMG LLP, of 8 Salisbury Square, London EC4Y 8BB ("the Receivers"), be appointed joint receivers to receive all the Disclosed Assets, Undisclosed Assets, Further Undisclosed Assets and all the Additional Undisclosed Assets, the UK Properties and the Extra Undisclosed Assets.

1A. The Receivers shall also be appointed as managers of (a) the companies listed for the purposes of this paragraph in Schedule 3 to this order, (b) Chrysopa Holding B.V. (listed in row 93 of Schedule 3B hereto), International Petroleum Services Group Limited (listed in row 20 of Schedule 3D hereto) and Valenora Co Limited (listed in row 23 of Schedule 3D hereto), and (c) Mount Properties Limited (listed in row 248 of Schedule 3B hereto) and Lafe Technology Limited (listed in row 210 of Schedule 3B hereto). The said appointment shall, upon

recognition of this order in the jurisdiction of incorporation of the said companies, suspend the powers of the directors of those companies (such powers to be assumed by the Receivers or persons acting on their instructions) save in so far as (i) any director thereof is authorised by the Receivers in writing to exercise any of those powers and/or (ii) such powers relate to the conduct of the arbitration proceedings and litigation referred to in Schedule 4(2)(ii) and (iii) hereto.

2. The Receivers shall further be appointed receivers to receive

- a. the US\$5,750,000 (and the traceable proceeds of it or any part of it) paid to Julia Seliverstova on 27 June 2008 by Devesta Limited.
- b. The US\$41,100,000 (and the traceable proceeds of it or any part of it) paid to FM Company on 27 June 2008 by Devesta Limited.
- c. The US\$2,000,000 (and the traceable proceeds of it or any part of it) being the balance of a total sum of US\$50,000,000 received by Devesta Limited from Drey Associates Limited on 25 June 2008 which was not paid to the Claimant.
- d. The US\$1,939, 500 (and the traceable proceeds of it or any part of it) paid by Amador Investment Limited to Toscana Group Limited on 3 July 2008.
- e. The US\$1,060,500 (and the traceable proceeds of it or any part of it) paid by Amador Investment Limited to Evanda Trading S.A. on 2 July 2008.
- f. The US\$815,000 (and the traceable proceeds of it or any part of it) paid by Aha Management Inc to Industrial Complex AMK Invest on 24 October 2008.
- g. The US\$750,000 (and the traceable proceeds of it or any part of it) paid by City Ventures Limited to Atlant LLP on 27 June 2008.
- h. The US\$720,000 (and the traceable proceeds of it or any part of it) paid by Tradestock Inc to Denmar Assets Management Inc on 22 October 2008.
- i. The US\$500,000 (and the traceable proceeds of it or any part of it) being the balance of a total sum of US\$4,000,000 received by Klostrade Financial Group from Amador Investment Limited on 27 June 2008 which was not paid to the Claimant.
- j. The US\$400,000 (and the traceable proceeds of it or any part of it) received by Caprio Limited from Swain River Limited on 27 June 2008.
- k. The US\$297,642 (and the traceable proceeds of it or any part of it) being the balance of a total sum of US\$900,000 received by Ditron Solutions Limited from Darfield Limited on 1 July 2008 which was not paid to the Claimant.

Provided that this clause shall not apply to any property, assets or interests which have been acquired by a *bona fide* third party purchaser for value.

3. The Disclosed Assets and the assets referred to in paragraph 2 above (hereafter together called “the **Property**”) and the Undisclosed Assets, Further Undisclosed Assets, Additional Undisclosed Assets, the UK Properties and the Extra Undisclosed Assets shall be held by the Receivers to the order of the Court.
4. Subject to paragraph 7, below, the appointment of the Receivers shall continue until further order of the Court.
5. The Receivers shall have power to take all such steps as may seem expedient to recover and preserve the Property and the Undisclosed, Further Undisclosed, Additional Undisclosed Assets, the UK Properties and the Extra Undisclosed Assets and in particular shall have the powers set out in Schedule 4 hereto. The powers vested in the Receivers may be exercised jointly or individually.

Provided always that (in relation to the Property and the Undisclosed, Further Undisclosed, Additional Undisclosed and Extra Undisclosed Assets and the UK Properties) once the Receivers certify that they have control over assets with a value exceeding the value stated from time to time in paragraph 4(a) of the Freezing Order or in the equivalent paragraph of any order which replaces that order, this Order shall cease to apply to any other assets constituting the Property and Undisclosed, Further Undisclosed, Additional Undisclosed and Extra Undisclosed Assets and the UK Properties. For the avoidance of doubt, the Claimant shall have permission to apply to seek a variation of this provision.

#### **EXTENSION APPLICATION—THE EXTRA UNDISCLOSED ASSETS**

- 5A. The amendments made to this order on 24 April 2012 (“the **April 2012 Amendments**”) were made at a hearing without notice to the First Defendant. The First Defendant has a right to apply to the court to vary or discharge such amendments and the amendments made to this order on 26 January 2011 (“the **January 2011 Amendments**”), 8 April 2011 (“the **April 2011 Amendments**”) and/or 27 May 2011 (“the **May 2011 Amendments**”) and 8 March 2012 (“the **March 2012 Amendments**”)— see paragraph 5C, below.
- 5B. The Court will (if required) reconsider the April 2012 Amendments as soon as possible at an appointment to be fixed through the usual channels to be heard by Teare J if possible.
- 5C. Anyone served with or notified of this order may apply to the court at any time to vary or discharge the January and/or April and/or May 2011 and/or March 2012 and/or April 2012 Amendments (in so far as those amendments affect that person), but they must first inform the Claimant’s solicitors. If evidence is to be relied upon in support of the application, the substance of it must be communicated in writing to the Claimant’s solicitors in advance.
- 5D. The Claimant is permitted, pursuant to CPR rule 3.2(1)(a), to delay service of the April 2012 Application, this order, supporting evidence and associated documentation on Mr Ablyazov (by service on his solicitors) until 4 pm on 8 May 2012.
- 5E. No documentation relating to the April 2012 Application, including this order itself, shall be put on the court file until after service of this order. The Claimant’s solicitors shall notify the Court as soon as reasonably practicable after such service has been effected.

- 5F. The costs of the April and May 2011 Extension Applications and the March and April 2012 Application are reserved.

**FREEZING ORDER – THE EXTRA UNDISCLOSED ASSETS**

- 5G. The Freezing Order shall be varied by the inclusion of a new Schedule F, in identical terms to Schedule 3D to this Order.

**SECURITY**

6. The Receivers do within eight days of the date of this order coming into force provide security in the sum of £5 million by filing written evidence of the bonds provided by them to cover appointment as a court appointed receiver.
7. If the Receivers have not given such security within eight days of the date of this order coming into force or within such further time as the Court may allow, their appointment as Receivers is immediately to cease.
8. The Claimant having given the undertakings in Schedule 2 and having made the payments and given the notifications referred to in 8A and 8B, below, the Receivers have permission to act.

**FURTHER FORTIFICATION**

- 8A. As further fortification for the undertaking in damages in paragraphs (i), (ii) and (iii) of Schedule 2 hereto, the Claimant shall pay the sum of £45 million into Court forthwith (and shall forthwith thereafter send written notification of such payment to the First Defendant's solicitors and the Receivers).
- 8B. The Claimant shall pay the sum of £7.5 million into Court in respect of the costs of the receivership forthwith (and shall forthwith thereafter send written notification of such payment to the First Defendant's solicitors and the Receivers).
- 8C. The First Defendant shall have liberty to apply in respect of the sufficiency of the fortification of the undertakings and in respect of the sum paid in relation to costs.

**DELIVERY UP**

9. The First Defendant shall, as soon as reasonably practicable:
  - a. use his best endeavours to deliver or cause to be delivered to the Receivers such of the Property and such of the Undisclosed, Further Undisclosed, Additional Undisclosed and Extra Undisclosed Assets and the UK Properties as are in his possession, under his control, or in respect of which he has the power to dispose or deal;

- b. use his best endeavours to deliver or cause to be delivered to the Receivers all share certificates, securities, books, instruments evidencing title and other documents and records (whether electronic or otherwise) relating to the Property or relating to the Undisclosed, Further Undisclosed, Additional Undisclosed or Extra Undisclosed Assets or the UK Properties (or any part thereof) as are in his control (as defined by CPR rule 31.8);
- c. use his best endeavours to irrevocably instruct all nominees, trustees, agents, attorneys or other persons who hold or control the Property or the Undisclosed, Further Undisclosed, Additional Undisclosed or Extra Undisclosed Assets or the UK Properties (or any part thereof), whether directly or indirectly, to deliver it and the documents referred to in sub-paragraph (b) above, to the Receivers. In particular, but without limitation, the First Defendant shall use his best endeavours to give such instructions to:
  - i. Erzhan Kadesov (also known as Urshan Kavisof);
  - ii. Rinat Batyrgareyev;
  - iii. Askhat Seitov;
  - iv. Julie Seliverstova (also known as Julia Seliverstova);
  - v. Abilkhan Amangeldiev;
  - vi. Helmedt Seitz (also known as H. Seitz);
  - vii. Paul Kythreotis;
  - viii. B. Littman;
  - ix. Panagitis Pavlides;
  - x. Joahna Linzi Rita Alcindor;
  - xi. Fiona Paraskeva;
  - xii. Ize Zviburle;
  - xiii. Maria Antoniadou;
  - xiv. Syrym Shalabayev;
  - xv. Alexander Udovenko;
  - xvi. Jason Hercules;
  - xvii. Zhaiykbek Burkitbayev;
  - xviii. Safuan Zhadikov;
  - xix. Yerlan Kossayev;
  - xx. Yuliya Degtyareva;
  - xxi. Tatyana Aleinova;
  - xxii. Edward Pakhomov (also known as Vitaliy Pakhomov and Eduard Pakhomov);
  - xxiii. Ella Ligai;
  - xxiv. Galina Batyrgareyeva; and
  - xxv. Alexander Frolov.
- d. use his best endeavours to irrevocably instruct the nominees, trustees, agents, attorneys or other persons who have the power to do so, to forthwith execute or cause to be executed share transfer forms in a form capable of being registered in the names of the Receivers (or their nominees or agents on their behalf) for all of the shares identified as the Disclosed Assets within Schedule 3 hereto (in the form of that



Schedule prior to the amendments made to it on 8 March 2012) and for all of the shares identified as the Undisclosed Assets, Further Undisclosed Assets, Additional Undisclosed or Extra Undisclosed Assets within Schedules 3A, 3B, 3C and 3D hereto and to provide those executed transfers to the Receivers forthwith.

- e. use his best endeavours to irrevocably instruct the nominees, trustees, agents, attorneys or other persons who have the power to do so, to confirm in writing to the Receivers that they will not issue, or allow to be issued, any new shares in the entity in respect of which they exercise control, or to otherwise take any step that would alter the management structure or capital structure of the relevant entity unless the Receivers first provide their written consent to such action being taken.
  - f. provide to the Receivers copies of all written instructions and details of all oral instructions given in accordance with sub-paragraphs (c), (d) and (e) above including (as appropriate) details of: the name and address of the person being instructed; the date when the instruction was given; the method by which the instruction was given; the nature of the asset to which the instructions referred; and the gist of any words said when giving those instructions.
10. The First Defendant (in addition to the Orders at paragraph 9(c), (d) and (e) above) shall, as soon as reasonably practicable, provide to the Receivers copies of all subsequent written communications and details of all subsequent oral communications concerning any actual or potential dealing with or disposal of any of the Property or any of the Undisclosed, Further Undisclosed, Additional Undisclosed or Extra Undisclosed Assets or the UK Properties between himself (including by any employee or agent or otherwise howsoever) and each person (whether by themselves their employees or agents or otherwise howsoever) to whom instructions were given in accordance with sub-paragraph 9(c), (d) and (e) above including (as appropriate) details of: the name and address of the person with whom he was communicating; the date of the communication; the method of communication; and the gist of any words said.
11. If so requested in writing by the Receivers, the First Defendant shall, as soon as reasonably practicable, use his best endeavours to irrevocably instruct the nominees, trustees, agents or other persons who have the power to do so, forthwith to cause the Receivers (or their nominees or agents on their behalf) to be registered as the holders of the shares (or equivalent participation rights as may exist in the relevant jurisdiction) identified as amongst the Disclosed Assets in Schedule 3 to this Order (in the form of that Schedule prior to the amendments made to it on 8 March 2012) or the Undisclosed Assets in Schedule 3A to this Order or the Further Undisclosed Assets in Schedule 3B to this Order or the Additional Undisclosed Assets in Schedule 3C to the Order or the Extra Undisclosed Assets in Schedule 3D to the Order.

#### **OBLIGATIONS OF THE FIRST DEFENDANT**

12. The First Defendant shall:
- a. give to the Receivers such information and documentation relating to the Property and the Undisclosed, Further Undisclosed, Additional Undisclosed and Extra Undisclosed Assets and the UK Properties and where the said Property or

Undisclosed, Further Undisclosed, Additional Undisclosed or Extra Undisclosed Assets consist of shares in companies used by the First Defendant as a part of a structure through which to hold his interests in a business or asset, such information and documentation relating to all companies and their respective businesses and assets within that structure,

- b. attend on the Receivers at all such times, and
- c. do all such things (including, without limitation, use his best endeavours to procure his agents, nominees, trustees or attorneys to do all such things),

as the Receivers may reasonably require for the purposes of getting in the Property and the Undisclosed, Further Undisclosed, Additional Undisclosed and Extra Undisclosed Assets and the UK Properties and carrying out their functions.

- 12A. The First Defendant shall use his best endeavours to procure that the directors of, and holders of powers of attorney in relation to, the companies listed in Schedules 3A, 3B, 3C and 3D hereto and their subsidiaries shall not deal with or dispose of the shares in, or the Direct or Indirect Assets of, those companies save in accordance with the Freezing Order (as amended). Without prejudice to the generality of this obligation, the First Defendant shall, as soon as reasonably practicable, issue appropriate written instructions to all such directors and holders of powers of attorney of which he has knowledge (copies of which shall be provided to the Claimant's solicitors and the Receivers).

#### **THIRD PARTIES**

- 12B. The Receivers shall have power to request any information from any third party within the jurisdiction or who falls within paragraph 20(2) (including without limitation those persons specified in Schedule 5) as the Receivers may reasonably require for the purposes of getting in the Disclosed, Undisclosed, Further Undisclosed, Additional Undisclosed and Extra Undisclosed Assets and the UK Properties and carrying out their functions in relation thereto.
- 12C. Any person upon whom this order is served within this jurisdiction or who falls within paragraph 20(2) (including without limitation those persons specified in Schedule 5), shall:
- a. give to the Receivers such information and documentation relating to the Disclosed, Undisclosed, Further Undisclosed, Additional Undisclosed and Extra Undisclosed Assets and the UK Properties,
  - b. attend on the Receivers at all such times, and
  - c. do all such things,

as the Receivers may reasonably require for the purposes of getting in the Disclosed, Undisclosed, Further Undisclosed, Additional Undisclosed and Extra Undisclosed Assets and the UK Properties and carrying out their functions in relation thereto.

#### **DISCLOSURE OF INFORMATION**

13. The First Defendant shall, within 6 working days of this order, file and serve on the Claimant and the Receivers a witness statement confirming whether the disclosure given by his third witness statement dated 16 April 2010 of the assets which ought to be disclosed pursuant to

the disclosure provisions of the Freezing Order remains (as at the date of the further witness statement) accurate (and, if not, providing full details of the ways in which the said disclosure is inaccurate).

14. To the extent not already provided, the Claimant's solicitors shall be at liberty to provide to the Receivers all information and documentation disclosed by the First Defendant or his solicitors pursuant to (i) the Freezing Order; (ii) the cross-examination of the First Defendant ordered by Mr Justice Teare on 16 October 2009; (iii) the evidence served in opposition to this application to appoint the Receivers; and (iv) the hearing of the application for their appointment.

#### **REPORTS**

15. The Receivers do submit a report to the Court, the Claimant's solicitors and the First Defendant within 6 weeks after the coming into force of this Order, giving details of the nature and value of the money, assets and property received by them pursuant to this Order and the quantum of their remuneration, expenses and costs. Thereafter, the Receivers shall submit reports to the Court, the Claimant's solicitors and the First Defendant at quarterly intervals from the date of their first report or more frequently if the Receivers believe that it is necessary or appropriate to do so.
16. The Claimant's solicitors may only circulate the said reports in a manner which is consistent with paragraph 15 of the Order of Mr Justice Teare dated 12 November 2009 (as subsequently amended).

#### **RECEIVERS' COSTS AND EXPENSES**

17. The Receivers shall be entitled to reasonable remuneration and reasonable costs and expenses properly incurred in the performance of their duties and the exercise of their powers as receivers, such remuneration, costs and expenses to be taken (if not paid directly by the Bank) from the sum paid into Court by the Bank in accordance with paragraph 8B, above, provided that both the Bank and the First Defendant shall have liberty to apply to the court for a determination of the reasonableness of such remuneration, costs and expenses, and upon such application being made the Court shall determine the same. The Bank shall have liberty to apply to seek an order that it be reimbursed for the said remuneration, costs and expenses by (a) the First Defendant and/or (b) the sale of asset(s) held by the Receivers.
18. The Receivers shall have a lien over the Property for the payment of their fees, costs and expenses (provided that such a lien may only be enforced with the leave of the court).

#### **THIRD PARTIES**

19. It is a contempt of court for any person notified of this Order knowingly to assist in or permit a breach of it. Any person doing so may be sent to prison, fined or have his assets seized.

#### **PERSONS OUTSIDE ENGLAND AND WALES**

20. (1) Except as provided in paragraph (2) below, the terms of this order do not affect or

concern anyone outside the jurisdiction of this court.

- (2) The terms of this order will affect the following persons in a country or state outside the jurisdiction of this court-
  - (a) the First Defendant, or any nominee, trustee, agent or attorney appointed by him or holding assets (whether directly or indirectly) for him.
  - (b) any person who-
    - (i) is subject to the jurisdiction of this court;
    - (ii) has been given written notice of this order at his residence or place of business within the jurisdiction of this court; and
    - (iii) is able to prevent acts or omissions outside the jurisdiction of this court which constitute or assist in a breach of the terms of this order; and
  - (c) any person, only to the extent that this order is declared enforceable by or is enforced by a court or is recognised in that country or state.
- (3) The persons referred to in paragraphs 20(2)(a) and/or 20(2)(c) above shall include (without limitation) those persons listed in Schedule 5 hereto.

#### **ASSETS LOCATED OUTSIDE ENGLAND AND WALES**

21. Nothing in this order shall, in respect of assets located outside England and Wales, prevent any third party (save for the persons referred to in paragraph 20(2) above) from complying with—
  - (1) what it reasonably believes to be its obligations, contractual or otherwise, under the laws and obligations of the country or state in which those assets are situated; and
  - (2) any orders of the courts of that country or state, provided that reasonable notice of any application for such an order is given to the Claimant's solicitors.

#### **LIBERTY TO APPLY AND APPLICATIONS**

22. The Claimant, First Defendant and Receivers shall have liberty to apply. The receivership application is transferred to the Chancery Division pursuant to CPR rule 30.5, to which division all applications relating to the receivership shall be made.

#### **COSTS**

23. The First Defendant do pay 80% of the Claimant's costs of the receivership application (which for the avoidance of doubt are to include 80% of the costs of the hearing as regards all applications on 4-6 August 2010) on the standard basis, to be assessed if not agreed. The First Defendant do pay the Claimant's costs of the application made on 10 November 2010 on the standard basis, to be assessed if not agreed. The Claimant's application for a payment on

account of such costs shall be stood over in order that the solicitors for the Claimant and First Defendant can attempt to agree the amount of such payment in correspondence.

#### PRIVACY AND RESTRICTED INFORMATION

24. The judgments of Mr Justice Teare dated 17 March and 16 July 2010 and this order may be made publicly available after the names of all individuals, companies and other entities which are subject to the restricted information regime imposed by paragraph 15 of the order of Mr Justice Teare dated 12 November 2009 (as subsequently amended) have been appropriately anonymised by agreement between the solicitors for the Claimant and the First Defendant or by direction of the court. For the avoidance of doubt, the Receivers may use and/or disclose the full terms of this order (including the schedule hereto) as they consider necessary for the purposes of the receivership.
25. Any publication and/or use of this order by the Receivers pursuant to paragraph 24, above, shall not be a breach of the order of Mr Justice Teare dated 17 March 2010.
26. The order of Mr Justice Teare dated 17 March 2010 shall be varied in order to permit the Claimant to inform the following entities as to the outcome of the receivership application, the terms of this order, and significant developments in the progress of the receivership and the appeal referred to below: (i) PriceWaterhouseCoopers; (ii) White & Case LLP; and (iii) Samruk-Kazyna (to whom the Claimant may also disclose information relating to the costs of the receivership).
27. The Receivers shall be permitted to use and/or disclose all information that has come, or will come, into their possession for the purposes of the receivership and no such use shall be restricted by or be a breach of paragraph 15 of the order of Mr Justice Teare dated 12 November 2009 (as subsequently amended) and/or paragraph 5 of the order of Mr Justice Teare dated 22 April 2010, save that such disclosure (insofar as it relates to information provided by the First Defendant), if directed towards the Claimant, shall in the first instance be provided to the Claimant's solicitors, Hogan Lovells International LLP, who shall continue to comply with paragraph 15 of the order of Mr Justice Teare dated 12 November 2009 (as subsequently amended) absent further order.

**SCHEDULE 1**

*Evidence read by the Court*

1. The tenth witness statement of Christopher George Hardman dated 18 February 2010.
2. The statement of fitness of Warren Foot dated 18 February 2010.
3. The statement of fitness of Joseph Stewart Farquharson Plant dated 18 February 2010.
4. The eleventh witness statement of Christopher George Hardman dated 22 February 2010.
5. The witness statement of David Edward Langley dated 15 March 2010.
6. The second witness statement of Mukhtar Ablyazov dated 16 March 2010.
7. The third witness statement of Mukhtar Ablyazov dated 16 April 2010 ("MKA 3").
8. The fourth witness statement of Alan Bercow dated 16 April 2010.
9. The expert report of Richard Hainsworth dated 16 April 2010.
10. The expert report of Christopher Morris dated 16 April 2010.
11. The third expert report of Professor William Bowring dated 16 April 2010.
12. The expert report of Scott Jonas Newton dated 14 April 2010.
13. The fifteenth witness statement of Christopher George Hardman dated 11 May 2010.
14. The second witness statement of Arman Dunayev dated 11 May 2010.
15. The witness statement of Mukhit Abdrasilov dated 10 May 2010.
16. The statement of the proposed joint receivers dated 11 May 2010.
17. The expert report of Vsevolod Markov dated 11 May 2010.
18. The expert report of Alexander Handruev dated 11 May 2010.
19. The fourth witness statement of Mukhtar Ablyazov dated 17 May 2010 ("MKA 4").
20. The expert report of Professor Maggs dated 17 May 2010.
21. The supplemental report of Christopher Morris dated 17 May 2010.
22. The fifth witness statement of Mukhtar Ablyazov dated 24 May 2010.

23. The first witness statement of Aram Iosifyan dated 24 May 2010.
24. The first witness statement of Rinat Batyrgareyev dated 4 June 2010.
25. The sixth witness statement of Mukhtar Ablyazov dated 4 June 2010.
26. The second witness statement of Cary Kochberg dated 7 June 2010.
27. The seventh witness statement of Mukhtar Ablyazov dated 14 June 2010.
28. The eighth witness statement of Mukhtar Ablyazov dated 18 June 2010.
29. The sixteenth witness statement of Christopher George Hardman dated 29 June 2010.
30. The second expert report of Professor Maggs dated 7 July 2010.
31. The first witness statement of Louis Flannery dated 15 July 2010.
32. The ninth witness statement of Mukhtar Ablyazov dated 15 July 2010.
33. The seventh witness statement of Alan Bercow dated 29 July 2010.
34. The seventeenth witness statement of Christopher George Hardman dated 2 August 2010.
35. The eleventh witness statement of Mukhtar Ablyazov dated 8 November 2010.
36. The first report to the Court of the Joint Receivers dated 21 December 2010.
37. The twenty third witness statement of Christopher George Hardman dated 24 January 2011.
38. The statement of the joint receivers dated 24 January 2011.
39. The first affirmation of Mukhtar Ablyazov dated 9 February 2011.
40. The second report to the Court of the Joint Receivers dated 21 March 2011.
41. The twenty fifth witness statement of Christopher George Hardman dated 7 April 2011.
42. The statement of the Joint Receivers dated 7 April 2011.
43. The twenty sixth witness statement of Christopher George Hardman dated 26 May 2011.
44. The statement of the Joint Receivers dated 26<sup>th</sup> May 2011.
45. The report of the Joint Receivers dated 27 May 2011.
46. The twenty eighth witness statement of Christopher George Hardman dated 8 June 2011.

- 47. The second witness statement of John Milsom dated 8 June 2011.
- 48. The thirty-seventh witness statement of Christopher George Hardman dated 7 March 2012.
- 49. The report of the Joint Receivers dated 6 March 2012.
- 50. The thirty-ninth witness statement of Christopher George Hardman dated 23 April 2012
- 51. The report of the Joint Receivers dated 23 April 2012

## SCHEDULE 2

### *Undertakings given to the Court by the Claimant*

- (i) The Claimant undertakes to be answerable for what the Receivers may receive or become liable to pay until they have given the security directed in this Order.
- (ii) If the Court later finds that the appointment or any act or omission of the Receivers has caused loss to the First Defendant, and decides that the First Defendant should be compensated for that loss, the Claimant undertakes that it will comply with any order that the Court may make.
- (iii) If the Court later finds that (i) the First Defendant is not the beneficial owner of an Undisclosed, Further Undisclosed, Additional Undisclosed or Extra Undisclosed Asset or the UK Properties (whether in whole or in part), and (ii) the appointment or any act or omission of the Receivers in relation to the said Undisclosed Asset, Further Undisclosed, Additional Undisclosed or Extra Undisclosed Asset or the UK Properties has caused loss to third party owner(s) of the same, and (iii) that the said third party owner(s) should be compensated for that loss, the Claimant undertakes that it will comply with any order that the Court may make.
- (iv) That it will, subject to the proviso set out below, serve the following documents on the First Defendant's solicitors by the time specified in paragraph 5D, above:
  - a. Copies of the witness statements, reports and associated exhibits containing the evidence relied upon by the Claimant in support of the Extension Application (but only insofar as such documentation has not previously been relied upon at an inter partes hearing);
  - b. A copy of the transcript or a solicitor's note of today's hearing; and
  - c. This order.
- (v) The Claimant will pay the reasonable costs of anyone other than the First Defendant and those persons referred to in paragraph 9(c), above, which have been incurred as a result of this order, including the costs of finding out whether that person holds any of the Undisclosed, Further Undisclosed, Additional Undisclosed or Extra Undisclosed Assets or the UK Properties.



- (vi) That if for any reason this Order ceases to have effect the Claimant will forthwith take all reasonable steps to inform, in writing, any person to whom it has given notice of this Order or of whom it has reasonable grounds for supposing may act on this Order, that it has ceased to have effect.

### SCHEDULE 3

#### *The Disclosed Assets*

In this Schedule reference is made to companies in the following categories:

- (a) Companies over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order;
- (b) Intermediate holding companies; and
- (c) Local operating companies to which Schedule 4, paragraph 2(i) of this order applies.

- (1) **The First Defendant's Interest in AMT** (See Appendix 2 to the Third Affidavit of the First Defendant herein ("MKA 3"))

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- Venezia Trading Limited (BVI)
- Omada Trading Limited (BVI)
- Squarecut Trading Ltd (BVI)
- AHA Management Inc (Commonwealth of Dominica)
- Crosbie Tech Limited (BVI)
- Daniels Tradecorp Inc (BVI)
- Drey Associates Limited (United Kingdom)
- Interfunding Facilities Limited (United Kingdom)
- Powermatic Data Limited (Seychelles)
- Solent Management Limited (BVI)

The intermediate holding companies (to which paragraph 1A of this Order does not apply) are:-

- Delta Torg LLC (Russia)
- Rikas Finance LLC (Russia)
- TuranAlem Capital LLC (Russia)
- PSK Finance AMK Invest LLC (Russia)

The relevant local operating company for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) is:-

- AMT Bank LLC

- (2) **The First Defendant's Interest in BTA Ukraine** (See Appendix 3 to MKA 3)

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- Randers Company Inc (BVI)
- Lakeland Commercial Ltd (BVI)
- Sundycoast Processing Ltd (BVI)
- Venezia Trading Limited (BVI)
- Goldfine Import Limited (BVI)
- Squarecut Trading Limited (BVI)
- Drobo Trade Ltd (BVI)
- Impulse Capital Corp (Seychelles)
- Stantis Ltd (Cyprus)
- Crosbie Tech Limited (BVI)
- Interfunding Facilities Limited (United Kingdom)
- Pointview Services Limited (BVI)
- Steffler Global Inc (BVI)
- ZRL Beteiligungs AG (Austria)

The intermediate holding companies (to which paragraph 1A of this Order does not apply) are:-

- Absolut Investment LLP (Ukraine)
- Afina Trade LLP (Ukraine)
- DP MP Invest (Ukraine)
- DP Jupiter Trade (Ukraine)
- Drobo Trade Investments LLP (Ukraine)
- Goldfine Import Investments LLP (Ukraine)
- Impulse Capital Investments LLP (Ukraine)
- IPG Eurasia Ukraine LLC (Ukraine)
- Lakeland Investments LLP (Ukraine)

The relevant local operating company for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) is:-

- BTA Ukraine

**(3) The First Defendant's Interest in BTA Georgia (See Appendix 4 to MKA3)**

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- Venezia Trading Limited
- Sundycoast Processing Ltd (BVI)
- Crosbie Tech Limited (BVI)
- Interfunding Facilities Limited (United Kingdom)
- Pointview Services Limited (BVI)
- ZRL Beteiligungs AG (Austria)

The relevant local operating company for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) is:-

- BTA Georgia

**(4) The First Defendant's Interest in BTA Armenia (See Appendix 5 to MKA 3)**

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- Sundycoast Processing Ltd (BVI)
- AHA Management Inc (Commonwealth of Dominica)
- Pointview Services Limited (BVI)
- Squarecut Trading Limited (BVI)
- ZRL Beteiligungs AG (Austria)

The intermediate holding company (to which paragraph 1A of this Order does not apply) is:-

- PSK Finance AMK Invest LLC (Russia)

The relevant local operating company for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) is:-

- BTA Armenia

**(5) The First Defendant's 25% shareholding in in Temirbank (See para. 301 MKA3)**

**(6) The First Defendant's Interest in BTA Kazakhstan (See para. 303 MKA 3)**

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- AST Holdings Ltd (St Vincent's and the Grenadines)
- Vartexo Trading Ltd (Cyprus)
- Revil Securities SA (Panama)
- Crosbie Tech (BVI)
- Venezia Trading Limited (BVI)
- KT Asia Investment Group BV (Holland)
- KSC International Ltd (BVI)
- Omada Trading Ltd (BVI)
- Sundycoast Processing Ltd (BVI)
- Biofusion Limited (BVI)
- Boscobel International Corp (BVI)
- Delaware Capital Inc (BVI)
- Drey Associates Limited (United Kingdom)
- Eurobusiness Group Inc (Panama)
- GEM Equity Management Holding AG (Austria)
- Gemestra Limited (BVI)
- Interfunding Facilities Limited (United Kingdom)
- KT Asia Investment Group C.V (Netherlands)
- Lucky Kingdom Investments (Seychelles)
- Merenko Limited (Cyprus)
- Pointview Services Limited (BVI)
- Powermatic Data Limited (Seychelles)

- Strident Energy Limited (United Kingdom)
- 
- Tollo Holdings S.a.r.l (Luxembourg)
- ZRL Beteiligungs AG (Austria)

The intermediate holding companies (to which paragraph 1A of this Order does not apply) are:-

- Companiya Invest Capital LLP (Ukraine)
- Agroinvest LLP (Kazakhstan)
- Kazcapital Invest LLP
- Makta Aral Company LLP (Kazakhstan)
- Orken Kapital LLP
- SMKK LLP (Kazakhstan)
- Yassy Invest LLP (Kazakhstan)

The relevant local operating companies for the purposes of Schedule 4, paragraph 2 of this Order are:-

- JSC BTA Bank

(7) **The First Defendant's 60% shareholding in Real Estate Commercial Company, a company holding property in Kazakhstan (See para. 306 MKA 3)**

(8) **The First Defendant's Interest in Business Centre at Tsvetnoi Boulevard Moscow (See para. 314 MKA 3)**

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- Helia Investments Ltd (BVI)
- Clerante Holdings Limited (Cyprus)
- Yarla Investments Limited (BVI)

The relevant local operating company for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) is:-

- Tekhstroy Alliance CJSC (Russia)

(9) **The First Defendant's Interest in Oceanarium, Moscow (See para. 324 MKA 3)**

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- Legendcatch Services Limited (Cyprus)
- Livehouse International Ltd (BVI)
- Salvino Services Limited (Cyprus)

The relevant local operating companies for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) are:-

- OOO Marine Gardens

- (10) **The First Defendant's Interest in Business Centre at Kutuzovsky Prospect, Moscow** (See para. 328 MKA 3)

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- Jadason Enterprises Ltd (Seychelles)
- Minlin Estates Limited (Cyprus)

The relevant local operating companies for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) are:-

- Dik Nedvizhimost CJSC (Russia)
- Financial Centre Micex CJSC (Russia)

- (11) **The First Defendant's Interest at Pavletskaya Square, Moscow** (See para. 338 MKA 3)

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- Investclub Investments Ltd (BVI)
- Salvino Services Limited (Cyprus)
- Ringbell Investments Limited (BVI)
- Simplecity Holdings Limited (Cyprus)

The relevant local operating company for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) is:-

- Paveletskaya OJSC (Russia)

- (12) **The First Defendant's Interest in land at Kaluzkhoye Highway, Moscow** (See para. 350 MKA 3)

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- Avelle Consulting Limited (Seychelles)
- Avgur Group Limited (Seychelles)
- Beststage International Ltd (BVI)
- Terrazone Investments Ltd (BVI)
- Bayshore Marketing Inc (BVI)
- Salvino Services Limited (Cyprus)
- Varna Limited (Seychelles)

The relevant local operating companies for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) are:-

- Pakhra Fields LLC (Russia)
- PK Yurovo LLC (Russia)
- PO Bylovo LLC (Russia)

- (13) **The First Defendant's Interest in Vitino Port** (See para. 355 MKA 3)

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- Direct Logistic Solutions Ltd
- Lux Investing Limited (BVI)
- Tedcom Finance Limited (Seychelles)

The intermediate holding companies (to which paragraph 1A of this Order does not apply) are:-

- Usarel Investments Limited
- White Sea Complex B.V (Netherlands)

The relevant local operating companies for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) are:-

- Belomorskaya Neftebaza CJSC (Russia)
- N-Terminal LLC (Russia)
- Nord Shipping Inc (Belize)
- Northern Operations N.V (Netherlands Antilles)
- Sea Specialised Port Vitino LLC (Russia)

**(14) The First Defendant's Interest in mine in Kyrgyzstan (See para. 361 MKA3)**

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- Delaware Capital Inc (BVI)
- Merenko Limited (Cyprus)

The relevant local operating companies for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) are:-

- IPG Eurasia LLC (Russia)
- OOO Saryjaz Mineral Mining Co

**(15) The First Defendant's Interest in oil reserves in Kazakhstan through a 33.3% shareholding in Parasat Group NV (See para. 366 MKA 3)**

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- Ancilla Beteiligungs – Verwaltungs GmbH (Austria)
- Bondhouse Holdings Limited (Cyprus)
- Riverstreet Investments Ltd (BVI)

The intermediate holding company (to which paragraph 1A of this Order does not apply) is:-

- Parasat Group NV

The relevant local operating companies for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) are:-

- NOO MK Caspian Zhuldyz

- TOO Caspian Tristar

**(16) The First Defendant's Interest in Cosmos Hotel, Moscow being a 25.19% shareholding in OAO Gostinichniy Kompleks Cosmos (See para. 370 MKA 3)**

The relevant companies in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order are:-

- Eminota Limited (Cyprus)
- Lemur Holdings Ltd (Cyprus)
- Fexon International Ltd (Seychelles)

The relevant local operating company for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) is:-

- OAO Gostinichniy Kompleks Cosmos

**(17) The First Defendant's 10% shareholding in New Tobacco Company (See para. 377 MKA 3)**

The relevant company in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order is:-

- Instem Holdings Ltd (Cyp)

The relevant local operating company for the purposes of Schedule 4, paragraph 2 of this Order (to which paragraph 1A of this Order does not apply) is:-

- OOO Novaya Tabachnaya Companiya

**(18) The First Defendant's interest in the proceeds of sale Eurasia Tower in Moscow (see para. 16(4) MKA 4)**

The relevant company in respect of this asset over which the Receivers are appointed as managers pursuant to paragraph 1A of this Order is:-

- Handcart Investments Limited

**And In Addition**

The First Defendant's bank accounts with BTA Bank, Moscow, now called AMT including account number 103-0003779-04.

The First Defendant's bank accounts with EFG Bank, Switzerland including account numbers N534746, 534746.150.0 GBP and IBAN CH22 0866 7005 3474 6150 0.

## SCHEDULE 3A

## The Undisclosed Assets

*The shares in, and (from the moment of service of this order on Mr Ablyazov's solicitors) the Direct and Indirect Assets of, the following companies:*

	Company name	Subsidiaries	Jurisdiction	Reg. no.
1.	ABREMIN HOLDINGS LIMITED		Cyprus	
2.	AEG SYSTEMS INC.		BVI	492383
3.	AFRELT A HOLDINGS LIMITED			
4.	ALARA INTERNATIONAL INC.	SAMAL PROPERTIES	BVI	304518
5.	ALDAN GLOBAL LIMITED		BVI	
6.	ALDRIDGE VENTURES LTD		Seychelles	
7.	ALEDAR HOLDINGS LIMITED		BVI	1494228
8.	ALPHASEA INVESTMENTS LIMITED		BVI	1474198
9.	AMADOR INVESTMENTS LTD.		Cyprus	176354
10.	AMBERWAY LIMITED		Seychelles	
11.	AMELCA INVESTMENTS LIMITED			
12.	AMOREUX LIMITED	SALWICK HOLDING S. À R.L.	Cyprus	HE221463
13.	ANITAL LLC		Delaware	3764432
14.	ARACELA HOLDINGS LIMITED		Cyprus	
15.	ARANA CONSTRUCTIONS LIMITED		BVI	1495434
16.	ARGONEX INVEST CORP	LLP AGROCENTER-ASTANA	BVI	511956
17.	ARKRIDGE TELECOM LIMITED	SANDOWN HOLDING S. À R.L.	Cyprus	
18.	ARTOUNOA HOLDINGS LIMITED		Cyprus	
19.	ASINO HOLDINGS LIMITED		BVI	1495405
20.	ASMELTA INVESTMENTS LIMITED		Cyprus	HE231313
21.	ASPELICA INVESTMENTS LIMITED		Cyprus	
22.	ASSET GROUP HOLDINGS LIMITED		BVI	1420763
23.	ASTERATO MANAGEMENT LIMITED			
24.	ASTROGOLD CORP.		BVI	1374174



	Company name	Subsidiaries	Jurisdiction	Reg. no.
25.	AUSTIN UNIVERSAL INC.		BVI	1377358
26.	AVASIA HOLDINGS LIMITED			
27.	AVGOUSTA HOLDINGS LIMITED (FORMERLY MOLYNEUX LTD.)			
28.	AVONHILL VENTURES LTD.		BVI	682000
29.	BALGAVEN INVEST INC.		BVI	300853
30.	BARDANE LIMITED	SEAHAM HOLDING S.À R.L	Cyprus	
31.	BAYMAK SERVICES LIMITED		BVI	1495416
32.	BELINIA HOLDINGS LIMITED	[JSC АТЫРАУМУНАЙГАЗГЕО ЛОГИЯ]	Cyprus	
33.	BENFLEET HOLDING SARL		Luxemburg- Kirchberg	B138,496
34.	BERIT LIMITED		BVI	1375691
35.	BERMENT VENTURES LTD.		BVI	1058546
36.	BLACKSTEEL EXPERTS CORP		BVI	1376758
37.	BLERACE LIMITED		Cyprus	
38.	BOLOT WORLDWIDE LIMITED		BVI	610502
39.	BONVEST ADVISORS LIMITED		BVI	
40.	BRANDEN & ASSOCIATES LIMITED	JSC АТЫРАУМУНАЙГАЗГЕО ЛОГИ	Seychelles	024595
41.	BTA INTERNATIONAL GROUP LIMITED		BVI	1518591
42.	BUBRECA LIMITED			
43.	BUSINESS CODE LIMITED		Seychelles	25953
44.	CALERNEN FINANCE INC.		BVI	300833
45.	CALESHA LIMITED		Cyprus	
46.	CARGOMAX MERCHANTS LIMITED		BVI	1374188
47.	CELINA HOLDINGS INVESTMENTS LIMITED (FORMERLY BUBRIS INVESTMENTS LIMITED)			

	Company name	Subsidiaries	Jurisdiction	Reg. no.
48.	COMET MERCHANTS LTD.		BVI	578198
49.	COMWORK LTD		BVI	580838
50.	CONCARDO LIMITED	SHALFORD HOLDING S. À R. L.	Cyprus	HE221263
51.	CORBIERE UNIVERSAL LIMITED		BVI	
52.	CRESHIA HOLDINGS LIMITED		Cyprus	
53.	DANA TRADE CORP.		Seychelles	
54.	DELCHELVA HOLDINGS LIMITED		Cyprus	
55.	DELTONA SERVICES LIMITED		BVI	1495431
56.	DERBENT SERVICES LIMITED		BVI	1495422
57.	DERNECO LIMITED			
58.	DORBEA INVESTMENTS LIMITED		BVI	1453089
59.	ELANESCA INVESTMENTS LIMITED		Cyprus	
60.	ELGIN ASSETS & VENTURES LIMITED		BVI	610503
61.	ELISHA HOLDINGS LIMITED			
62.	ELISTA TRADING INTERNATIONAL LIMITED		BVI	1495415
63.	ERGEN TRADING LTD		BVI	1062904
64.	ERGO TRANSIT CORP.		BVI	1380623
65.	ESTREA MANAGEMENT LIMITED		BVI	1453021
66.	EXTRACORD COMPANY LIMITED		BVI	1374177
67.	FAMESCA INVESTMENTS LIMITED		Cyprus	
68.	FOUNTAIN HILLS TRADING LIMITED		BVI	1495411
69.	FROLOVO HOLDINGS LIMITED		BVI	1495823
70.	G.R.V. GRECO VENTURES LIMITED	BATHGATE HOLDING S. À R. L.	Cyprus	HE204398
71.	GEMINI LIMITED		Seychelles	
72.	GLOBAL TEAM COMPANY		Seychelles	036979

	Company name	Subsidiaries	Jurisdiction	Reg. no.
73.	GOLD ARRIS LIMITED	BALDOCK HOLDING S. À R.L.,	Cyprus	C221362
74.	GORAZAR LIMITED		Cyprus	HE209166
75.	GREBORE LIMITED		Cyprus	
76.	GREPAS SERVICES LIMITED		BVI	1494529
77.	GRUNDBERG INC.		Seychelles	27871
78.	HALLMER LIMITED	BANAVIE HOLDING S. À R.L.	Cyprus	221342
79.	HILBERTON TRADING LTD.		BVI	
80.	HILLSPAR HOLDING LIMITED	BANGOR HOLDING S. À R.L.	Cyprus	HE221271
81.	IDRIAL LIMITED	BARDON HOLDING S. À R. L.	Cyprus	
82.	JACROBI LIMITED		BVI	1540549
83.	JOHNSON INTERTRANS INC.		BVI	682017
84.	JOJOLAND VENTURES LTD		Cyprus	
85.	JOYTAS HOLDINGS LIMITED		BVI	1494520
86.	KAPSY LIMITED		BVI	1494232
87.	KENTAVIR LIMITED	BARNHILL HOLDING S. À R.L.	Cyprus	HE221289
88.	KINMATE TRADING LIMITED		Seychelles	
89.	KLOSTRAD FINANCIAL GROUP LTD.			
90.	KSC INTERNATIONAL CORP.		Seychelles	
91.	KUTUZOV WORLDWIDE ENTERPRISES LIMITED		BVI	610814
92.	LALLARTE LTD			
93.	LALLARTE PROPERTIES LIMITED		Cyprus	
94.	LAPLOUS GROUP LTD.	"ОМСК-ПОЛИМЕР" - OMSK-POLYMER	BVI	660218
95.	LAQUIAN LIMITED	BEMPTON HOLDING S. À R.L.	Cyprus	
96.	LATRECIA INVESTMENTS LIMITED		Cyprus	
97.	LEARNA HOLDINGS LIMITED		Cyprus	HE 22136
98.	LEONCA HOLDINGS LIMITED		Cyprus	

	Company name	Subsidiaries	Jurisdiction	Reg. no.
99.	LERCINA HOLDING LTD			
100.	LERCINA HOLDINGS LIMITED			
101.	LERNICE INVESTMENTS LIMITED		Cyprus	
102.	LINGARD INDUSTRY LIMITED		BVI	[1051505]
103.	LINKWOOD LIMITED		Seychelles	
104.	LOREMAIN LIMITED	BERWICH HOLDING S.À R.L.	Cyprus	HE204395
105.	M.T.H. MARITIME HOLDINGS LIMITED	BILLBROOK HOLDING S.À R.L.	Cyprus	
106.	MABCO INC.		BVI	492132
107.	MALABAR INVESTMENTS GROUP LIMITED		BVI	1495414
108.	MALAKIY RESOURCES LIMITED		BVI	1528963
109.	MARCENICA INVESTMENTS LIMITED		Cyprus	
110.	MARCIANA INVESTMENTS LIMITED		Cyprus	
111.	MASOUR HOLDINGS LIMITED		BVI	1494229
112.	MELINDRA HOLDINGS LIMITED		Cyprus	HE235065
113.	MER INVESTMENTS LIMITED		BVI	1472376
114.	MERCIELO LIMITED		Cyprus	
115.	MINTEX TRADING LTD.		BVI	
116.	MISHIA INVESTMENTS LIMITED		BVI	1474162
117.	MORANTA INVEST (CYPRUS) LIMITED		Cyprus	177050
118.	MORTECIA INVESTMENTS LIMITED		Cyprus	
119.	MYMANA INVESTMENT HOLDINGS LIMITED (FORMERLY KYMA INVESTMENT HOLDINGS LIMITED)			
120.	NAFAZKO INVESTMENTS LIMITED		BVI	
121.	NARABAK HOLDINGS LIMITED		BVI	1474174
122.	NETGOLD SERVICES LTD			
123.	NOSTRAS LIMITED	BILLINGHAM HOLDING S. À R.L.		
124.	NUERTO LIMITED			
125.	OLOFU INVESTMENT HOLDINGS LIMITED			

	Company name	Subsidiaries	Jurisdiction	Reg. no.
126.	OOO STROIINVEST-KAZAN		Russia	1071690047 164
127.	OOO STROI-RESOURCE		Russia	
128.	OOO INVESTPROEKT		Russia	1065260110 080
129.	OOO ATLANT-T		Russia	1087154007 203
130.	[OOO] REGION-INVEST LLC		Russia	1080278009 261
131.	[OOO] INVEST DEVELOPMENT LLC		Russia	1087746609 840
132.	OPIS INVESTMENTS LIMITED		BVI	1474166
133.	PADOGE LIMITED			
134.	PASU INVESTMENTS LIMITED		BVI	1494521
135.	PERFETA LIMITED			
136.	PKM INVEST LIMITED			
137.	PLARUM LIMITED	BARLASTON HOLDING S. À R.L.		
138.	PORFITTO TRADING LIMITED	BARMING HOLDING S. À R.L.		
139.	PRESTONA HOLDINGS LIMITED		BVI	1472753
140.	PREVIA LIMITED		Cyprus	
141.	PROLIFERONE LIMITED	BROCKLEY HOLDING S. À R.L.	Cyprus	HE 223867
142.	QUASONT LIMITED	BEXLEY HOLDING S. À R.L.  BIZNESELIT	Cyprus	201456
143.	REBLANCA HOLDINGS LIMITED		Cyprus	
144.	REME INVESTMENTS LIMITED		BVI	1494523
145.	RETRICA HOLDINGS LIMITED		Cyprus	
146.	REUEL LIMITED		BVI	1494227
147.	RIHANCA HOLDINGS LIMITED		Cyprus	
148.	ROCKLANE PROPERTIES LIMITED		BVI	454885
149.	ROSPOZANT LIMITED	BLACKBURN HOLDING S. À R.L.	Cyprus	204378

	Company name	Subsidiaries	Jurisdiction	Reg. no.
150.	RUBYWAY LIMITED		Seychelles	
151.	S.L. SCHOOLDESK LICENSING LIMITED	BUCKLEY HOLDING S. À R.L.	Cyprus	
152.	SAMAL PROPERTIES LIMITED LIABILITY PARTNERSHIP		Kazakhstan	38888318
153.	SAMERA HOLDINGS LIMITED	BRUNDALL HOLDING S. À R.L.	Cyprus	HE 221374
154.	SANBRETA INVESTMENTS LIMITED		Cyprus	HE 237114
155.	SANTE TRADING LIMITED [SANTE TRADING GROUP LIMITED]		BVI	1495417
156.	SEERIA ALLIANCE LTD.		BVI	507352
157.	SHORELINE INVESTMENT HOLDINGS LIMITED (FORMERLY GRANTA INVESTMENT HOLDINGS LIMITED)		BVI	1453140
158.	SIMONIE HOLDINGS LIMITED	BELGROVE HOLDING S. À R.L.	Cyprus	221278
159.	SOMERSET PROJECTS INC.		BVI	1045876
160.	STRASECA HOLDINGS LIMITED		Cyprus	
161.	SUNSTONE VENTURES LTD.		BVI	584478
162.	SURMAKA SERVICES LIMITED		Cyprus	
163.	SVELGATE ALLIANCE CORP.		BVI	
164.	TACTICA ENTERPRISES LTD.		BVI	682002
165.	TAHOKA LIMITED	BULWELL HOLDING S. À R.L.	Cyprus	
166.	TARANSKI LIMITED	BRANHALL HOLDING S. À R.L.	Cyprus	
167.	TELFORD FINANCIERS CORP.		BVI	1374186
168.	TICKER SERVICES AND CONSULTING LIMITED		BVI	1494530
169.				
170.	TOPGEO HOLDINGS LIMITED		Cyprus	175798
171.	TRADESTOCK INC.		Panama	
172.	TRAMLANES INVESTMENTS LIMITED (FORMERLY BERGTRANS CONTRACTS CORP)		BVI	1374191

	Company name	Subsidiaries	Jurisdiction	Reg. no.
173.	TRASNATIONAL ASSETS LIMITED			
174.	TRASNATIONAL CAPITAL AND INVESTMENTS LIMITED		BVI	610501
175.	TRIONFALE LIMITED		Cyprus	183346
176.	TSENTR-INVEST LIMITED LIABILITY COMPANY			
177.	UNITED CLEARING & PROCESSING COMPANY LTD.		BVI	1062737
178.	VALEFORD CONSORTIUM CORP.	EXCELLENT GLIDE	BVI	682014
179.	VANESCA HOLDINGS LIMITED		BVI	
180.	VANESCA INVESTMENTS LIMITED		Cyprus	
181.	VARKIZA INVESTMENTS LIMITED		BVI	1474168
182.	VIANDEN LIMITED		Cyprus	HE201422
183.	VOREDA INVESTMENTS LIMITED		BVI	1472752
184.	VULIER INVESTMENTS LIMITED		BVI	1474163
185.	WESTRADE LTD.		BVI	53136
186.	WIDLEY WORLDWIDE INC.		BVI	610505
187.	WOODBIDGE GROUP LTD.		BVI	569250
188.	WORLDWIDE CITY INVESTMENTS LIMITED		BVI	610506
189.	YORK RESOURCES CAPITAL CORP.		BVI	682013
190.	YUMA HOLDINGS LIMITED		BVI	1495418
191.	ZAFFERANT PARTNERS INC.		BVI	1044166
192.	ZALOU INVESTMENTS LIMITED		BVI	1474161
193.	ZANGYLA HOLDINGS LIMITED		Cyprus	
194.	ZATHEN HOLDING INVESTMENTS LIMITED (FORMERLY CARSONWAY LIMITED)		BVI	601702
195.	ZED CANDY LIMITED			
196.	ZEPHYRANTH LIMITED			
197.	AFRICAN SKY TRADING LIMITED		Cyprus	
198.	ALEXAR TRADING LIMITED		BVI	1064299
199.	BATITRAV RESOURCES LIMITED		Cyprus	193524
200.	BILLION TRADER LTD			
201.	DAYEN ENVIRONMENTAL LIMITED			
202.	DEVESTA LIMITED		BVI	1065560
203.	HUDSONA LIMITED		Cyprus	

	Company name	Subsidiaries	Jurisdiction	Reg. no.
204.	I.R.E.LAND LIMITED		Cyprus	
205.	KEPPEL LAND LIMITED		Cyprus	
206.	RIMOS LIMITED		Seychelles	030747
207.	MOLDINA INVESTMENTS LTD		Cyprus	C171998
208.	STARWOOD CONTRACTS LIMITED		Seychelles	029790
209.	TARIONO LIMITED		Cyprus	HE153123
210.	URBAS INDUSTRIAL LIMITED		Cyprus	194828
211.	VANBAS LIMITED		BVI	1064295
212.	WINTERRA HOLDINGS LIMITED			



**SCHEDULE 3B****The Further Undisclosed Assets**

*The shares in, and (from the moment of service of this order on Mr Ablyazov's solicitors) the Direct and Indirect Assets of, the following companies:*

	<b>Company Name</b>	<b>Jurisdiction</b>	<b>Registration No</b>	<b>Subsidiaries</b>
1.	Acalan Holdings Limited	Cyprus		Bluecenter Holdings
2.	Adamaris Investments Ltd	Cyprus		
3.	Adarma Holdings Limited	Cyprus	273978	
4.	Addes International Limited			
5.	Adventex Trading Limited	BVI	1494656	
6.	Agrippa Trading Limited	BVI	1622121	
7.	Aharon Incorporated	Seychelles	081241	
8.	AIST LLC	Russia	0127739396024	
9.	Alfa Consultancy Limited	Seychelles	079481	
10.	Alterson Limited		027874	
11.	Amirar Limited	Cyprus	172083	
12.	AMT Services Limited	BVI	1537759	
13.	Angeland Investments Limited	BVI	1401999	
14.	Anibell Trading Limited	BVI	1524142	
15.	Antlia Corp	BVI	1385816	
16.	Anzion Holdings Limited	BVI	1532863	
17.	Apa Services Limited	BVI	1621339	
18.	Archeston Solutions Inc	BVI	1045432	Business-Engineering
19.	Ardrock Holdings Limited	Cyprus		
20.	Armotage Trading Limited	Cyprus		
21.	Artvision Limited			
22.	Aruma Holdings Limited			
23.	Asenet Services Limited	BVI		

	Company Name	Jurisdiction	Registration No	Subsidiaries
24.	Aurland Production Inc	BVI	1067872	
25.	Avredinar Holdings Limited	Kazakhstan		
26.	Bainsder Investments Limited			
27.	Baldock Holding S.a.r.l.	Luxembourg	138147	
28.	Balladfield Holdings Limited	Cyprus		
29.	Balladplace Enterprises Limited	Cyprus		
30.	Balladream Holdings Limited	Cyprus		
31.	Balladroad Enterprises Limited			
32.	Baltinvest LLC	Russia	1083925030595	
33.	Baltyk Idea Limited			
34.	Banavie Holding S.a.r.l.	Luxembourg	138297	
35.	Bangor Holding S.a.r.l.	Luxembourg	138296	
36.	Bardon Holding S.a.r.l.	Luxembourg	138148	
37.	Barlaston Holding S.a.r.l.	Luxembourg	138150	
38.	Barming Holding S.a.r.l.	Luxembourg	138243	
39.	Barnhill Holding S.a.r.l.	Luxembourg		
40.	Baruch & Co Limited	Seychelles		
41.	Bathgate Holding S.a.r.l.	Luxembourg	138146	
42.	Beenap Limited	BVI	1049720	
43.	Belgrave Logistics Limited	Seychelles	028509	
44.	Bellgrove Holding S.a.r.l.	Luxembourg		
45.	Beltas Development Corp	BVI	1069733	
46.	Beltor Ltd	Seychelles	027292	Moranta Invest (Cyprus)
47.	Bemet Investments Limited			
48.	Bempton Holding S.a.r.l.	Luxembourg		
49.	Bensbourogh Trading Inc	BVI	1468356	
50.	Berger International Limited	Seychelles	027001	
51.	Berniling Investments Limited	Cyprus		

	Company Name	Jurisdiction	Registration No	Subsidiaries
52.	Berwich Holding S.a.r.l.	Luxembourg	138344	
53.	Bestcatch Trading Limited	Cyprus		
54.	Bestshot Services Limited	Cyprus		
55.	Bexley Holding S.a.r.l.	Luxembourg	138290	
56.	Biancini United S.A.	BVI	1532375	
57.	Billbrook Holding S.a.r.l.	Luxembourg	138343	
58.	Billingham Holding S.a.r.l.	Luxembourg		
59.	Bion Services Limited	BVI	1622096	
60.	Blackburn Holding S.a.r.l.	Luxembourg	8138293	
61.	Bluecenter Holdings Limited	BVI	1522557	
62.	Bluestate Investments Limited	BVI	1494647	
63.	Bogara Tech Limited			
64.	Bondiza Consulting Limited	BVI	1049725	
65.	Bondmore Holdings Limited			
66.	Bondrain Holdings Limited	Cyprus		
67.	Bondyear Enterprises Limited			
68.	Bramilt Enterprises Limited	Cyprus		
69.	Branhall Holding S.a.r.l.	Luxembourg		
70.	Brighton Corp.	Seychelles	27875	
71.	Britar Holdings Ltd	BVI	1524113	
72.	Broadlife Trading Limited			
73.	Brockley Holding S.a.r.l.	Luxembourg	138253	
74.	Brundall Holding S.a.r.l.	Luxembourg	138281	
75.	Buckley Holding S.a.r.l.	Luxembourg		
76.	Bular Trading Limited	BVI	300852	
77.	Bulwell Holding S.a.r.l.	Luxembourg		
78.	Business Engineering LLC	Russia	5067746967492	
79.	Business Expert LLC	Russia	009105499	

	Company Name	Jurisdiction	Registration No	Subsidiaries
80.	Cabcharge Limited			
81.	Caprio LLC/Kaprio LLC	Russia	1077758540067	
82.	Carlower Enterprises Limited	Cyprus		
83.	Carsonway Limited	BVI	601702	
84.	Caspineft Holding B.V.			
85.	Center-Invest	Russia	1087746826804	
86.	Centile Resources Inc	BVI	1062916	
87.	Cerebos Limited			
88.	CF & Morgan Russia Equity Fund, LP	Russia		Russian GS
89.	Chapelhill Enterprises Limited	Cyprus		
90.	Chapelroad Enterprises Limited	Cyprus		
91.	Chefa Limited	Cyprus		
92.	Chesterland Invest Corp	BVI	1045430	
93.	Chrysopa Holding B.V.	Netherlands	27222830	
94.	City Ventures limited	Seychelles	025955	
95.	Colberg Division Limited	Cyprus	181252	
96.	Colex Trading Limited	Seychelles	27187	
97.	Company Support Limited			
98.	Cona Trading Limited	BVI	1524143	
99.	Constance Services Limited	BVI	1562723	
100.	Core Network Limited	Seychelles	079482	
101.	Cornerways Services Limited			
102.	Crondale Finance Limited	Seychelles		
103.	Cronberry Overseas Limited	Cyprus		
104.	Crossaction Enterprises Limited	Cyprus	220226	
105.	Cyten Trading Inc	BVI	1058557	
106.	Danionics Enterprises Limited			

	Company Name	Jurisdiction	Registration No	Subsidiaries
107.	Darfield Limited	BVI	251302	
108.	Denacom Limited	Cyprus		
109.	Denmar Assets Management Inc	BVI	1069586	
110.	Develcan Ventures Limited	BVI	1042062	Stockstair Holdings Ltd
111.	Dinfield Inc			Grosburg Inc
112.	Donlant Ventures Inc	BVI	1044187	
113.	Doren Trading Limited	BVI	1375696	
114.	Dowring & Associates Inc	BVI	1058558	
115.	Dreamlife Holdings Ltd	BVI	1462349	
116.	Dregon Land Ltd	Cyprus	176394	
117.	Drofal Holding Limited	BVI	1049729	
118.	Easy Concept Processing Ltd	BVI	1462346	
119.	Edmisonon Holdings Limited	Cyprus	183260	
120.	Eklarius Limited	Cyprus		
121.	Eldoro Holdings Limited	Cyprus		
122.	Elias Import/Export Limited	BVI	1045427	
123.	Elsinore Consultants Limited	Cyprus	214829	
124.	Emesin Trading Limited	Cyprus	178449	
125.	Emsort Holdings Limited	Cyprus		
126.	Engelor Trading Limited			
127.	Enverolt Marketing Limited	BVI	1041139	
128.	Equipe Holdings Limited	BVI	1041185	
129.	Ernalco Investments Limited	Cyprus		
130.	Escantre Holdings Limited			
131.	Estar Developments Limited	BVI	1049271	
132.	Euroguard Assets Limited	Cyprus	157940	
133.	Europtronic Limited	Seychelles	027188	

	Company Name	Jurisdiction	Registration No	Subsidiaries
134.	Facebook Trading Limited	Cyprus		
135.	Famelink Investments Limited	Cyprus	220859	
136.	Famille Solutions Limited	BVI	1562730	
137.	Fanal Holdings Limited	Cyprus		
138.	Farcape Services Limited	BVI	1524082	
139.	Farpost Holdings Ltd	BVI	1524144	
140.	Fastservice Consultants Limited	BVI	1494649	
141.	Fedelm Corp	BVI	1376422	
142.	Fenella Service Limited			
143.	Fernwood Resources Limited	Seychelles	029775	
144.	Fers Limited	Cyprus	178489	Holder Dom LLC
145.	Feston Limited	Seychelles	027872	
146.	Fieldport Networks Corp	Seychelles		
147.	Fitcherly Holdings Limited	BVI	1584171	
148.	Flairis Technologies Limited	Cyprus	176452	
149.	Fletcher Investors Corp	BVI	1045424	
150.	Flightplan Services Limited	Cyprus		
151.	Flyajet Investments Limited	BVI	1524141	
152.	FM - Company Limited	Marshall Is		
153.	Fora Corporation			
154.	Forestcrystal Holdings Limited	Cyprus		
155.	Foresthous Holdings Limited	Cyprus		
156.	Forlani Investments Ltd	Cyprus		
157.	Formiga Limited			
158.	Francis Alliance Limited	BVI	1045423	
159.	Francont Trading Limited			
160.	Freshway Financial Consulting Limited	BVI	1044167	

	Company Name	Jurisdiction	Registration No	Subsidiaries
161.	Frontline Technology Limited	Seychelles		
162.	Fugishia Trading Limited	BVI	1519025	
163.	Galanz Productions Limited	BVI	1041143	
164.	Gambac Limited	BVI	666463	
165.	Gamematch Payment Ltd	BVI	1462354	
166.	Gasten Group Limited	BVI	1070393	
167.	Gatova Holdings Limited	Cyprus		
168.	Gemnet Services Limited	BVI	1519027	
169.	Gemwave Systems Limited			
170.	Genemedix Enterprises Ltd			
171.	Gestel Marketing Inc	BVI	1063845	
172.	Giftrock Trading Limited	Cyprus		
173.	Global King Enterprises Limited			
174.	Globetrade Management Ltd	BVI	1462202	
175.	Goldboat Investments Limited	BVI		
176.	Goldenstage Trading Limited	Cyprus		
177.	Graceway Commerce Limited		181354	
178.	Greenbridge Services Limited	BVI	1540522	
179.	Grosburg Limited	Cyprus	181247	LLC Stroyinvest MSC
180.	Gurtall Management Limited	BVI	1540510	
181.	Harnfield Resources Limited	BVI	1063847	
182.	Heavenview Investments Ltd	BVI	1462238	
183.	Heklon Management Ltd	BVI	300828	
184.	Highbond Associates Ltd	Seychelles	028969	
185.	Highview Limited	Seychelles	102630	
186.	Hillrose Developments Limited	Seychelles		
187.	Hilton Consulting Limited	BVI	1070782	

	Company Name	Jurisdiction	Registration No	Subsidiaries
188.	Honeynet Trading Limited	BVI	1494645	
189.	Humaninvest International Limited	BVI	1462234	
190.	Iamgold Corporation Limited			
191.	Imex Management Inc	BVI	1071477	
192.	Inkaville Holdings Limited	BVI	1532830	
193.	Intellectual Creative Solutions Ltd	BVI	1009715	
194.	IvoryTower Consultants Limited	Cyprus		
195.	Jacks International Limited			
196.	Jet Fame Industries Limited	Seychelles		
197.	Jifkor Holdings Limited	BVI	1049731	
198.	Jollafeld Holdings Limited	Cyprus		
199.	Jollastreet Enterprises Limited	Cyprus	185640	
200.	Jollatower Enterprises Limited			
201.	Jollawood Trading & Investments Ltd	Cyprus	184905	
202.	Kailani Limited	BVI	1396985	
203.	Kalliyen Investments Limited	BVI	1484566	
204.	Karola Holdings Limited	BVI	1524127	
205.	Kells Services Limited	BVI	1540631	
206.	Keywave International Ltd	BVI	1462222	
207.	Kimoce Limited			
208.	Kinslev Productions Ltd	Seychelles		
209.	Koasiatrata Investments Limited			
210.	Lafe Technology Limited	Seychelles	27002	
211.	Lake Resources Limited	Seychelles		
212.	Langit Consulting Limited	BVI	1396993	



	Company Name	Jurisdiction	Registration No	Subsidiaries
213.	Lankom Limited	Seychelles		
214.	Lavec Estates Limited	Cyprus		
215.	Lebrica Holdings Limited	Cyprus		
216.	Lende Trading Corp	Marshall Is		
217.	Levelbest Trading Limited	Cyprus		
218.	Lexman Inc	Seychelles	027870	Colberg Division
219.	Lifetone Services Limited	Cyprus	218027	
220.	Liftmann Limited	Cyprus	197893	
221.	Lightland Consultants Limited	BVI	1420951	
222.	Lion Asiapac Limited			
223.	Lionhead Trading Limited	BVI	1494648	
224.	Loginet Services Limited	BVI	1522548	Eldoro Holdings
225.	Logopark Kolpino CJSC	Russia		
226.	Logopark Mezhdureche CJSC	Russia		
227.	Logopark Pyshma LLC			
228.	Lomtel Limited	BVI	682683	
229.	Lotaro Trading Limited	Cyprus		
230.	Lyrten Production Inc	BVI	1062905	
231.	Maden Holding Inc	BVI	1064833	
232.	Maintop Trading Limited	Cyprus	217353	
233.	Marinor Limited	Cyprus		
234.	Matheca Holdings Limited	Cyprus		
235.	Maximus Resources Limited	Seychelles	644290	
236.				
237.	Mega Property Limited	Marshall Islands		Mount Properties
238.	Melchia Trading Limited			
239.	Mergilot Trading Limited	Cyprus		

	Company Name	Jurisdiction	Registration No	Subsidiaries
240.	Microview Trading Limited	Cyprus	218651	
241.	Migina Limited	BVI	1396992	
242.	Millennium Support Group Limited	Seychelles		
243.	Minik Limited			
244.	Misatone Limited			
245.	Mitchell Technologies Inc	BVI	1045871	
246.	Mosdel Finance Limited	BVI	535012	
247.	Motzo Holdings Limited	BVI	1507648	
248.	Mount Properties Limited	BVI	337597	
249.	Nalden Industries Inc			
250.	Neshani Investments Limited	Cyprus	204400	
251.	Newform Company Ltd	Seychelles		
252.	Newhomeland Investments Ltd	BVI	1462874	
253.	Nextrata Investments Limited	Cyprus		
254.	Nicedeal Investments Limited	BVI	1524056	
255.	Nipremena Holdings Limited	Cyprus	186409	
256.	Nitnelav Holdings Limited	Cyprus		
257.	Normen (Overseas) Ltd	Cyprus	146521	
258.	Nupto Limited	Russia	1049721	
259.	Oldroad International Ltd	BVI	1392377	
260.	Orwell Import/Export Limited	BVI	1045872	
261.	Ostel Holdings Limited	BVI	1614863	
262.	Paller Products Limited			
263.	Palmgate Enterprises Limited	Cyprus	184874	
264.	Pancontinental Inc	Belize		
265.	Parakmi Logistics Limited	Cyprus		
266.	Pekan Trading Ltd	BVI	1500339	

	Company Name	Jurisdiction	Registration No	Subsidiaries
267.	Peperera Investments Limited			
268.	Perspective Communications Inc	BVI	1009714	
269.	Philadelphia Tradecorp Inc	BVI	1045873	
270.	Pilotlight Holdings Limited	Cyprus	220874	
271.	Placefield Holdings Limited	Cyprus	184898	
272.	Placehouse Enterprises Limited	Cyprus	184892	
273.	Planetzone Investments Limited	BVI	1494630	
274.	Platform Trustees Limited	Cyprus	77910	
275.	Potbel Holdings Ltd	Cyprus		
276.	Potiza Holdings Limited			
277.	Power Factor Trading Limited			
278.	Powerboat Investments Limited	BVI	1522546	Giftrock Trading
279.	Primesupport Holdings Ltd	Cyprus		
280.	Prockshare Limited	Seychelles		
281.	Progen Limited	BVI	544629	
282.	ProjectLand Investments Ltd	Cyprus		
283.	Promwell Holdings Limited			
284.	Prosperous Century Inc	BVI	1009694	
285.	Quickselect Investments Limited	BVI	1494632	
286.	Quinn Services Limited	BVI	1554073	
287.	Ratin Investment Limited	BVI	527985	
288.	Rayfield Productions Corp	BVI	1044183	
289.	Reclif Developments Limited			
290.	Refgen Technologies Inc	BVI	660231	
291.	Regal View Trading Limited	Cyprus	204492	
292.	Reigen Technologies Limited			
293.	Reklod Limited	BVI	1069727	

	Company Name	Jurisdiction	Registration No	Subsidiaries
294.	Ringfors Enterprises Limited	Cyprus		
295.	Roadnext Holdings Limited			
296.	Rochester Invest Corporation	BVI	1045874	
297.	Rockgarden Enterprises Limited	BVI	1522547	
298.	Romelo Holdings Limited	BVI	1524125	
299.	Rospanico Investments Limited			Samuel Finance
300.	Rovert Import/Export Limited	BVI	1069657	
301.	Royford Inc	BVI		
302.	Rushotel LLC	Russia	5077746954160	
303.	Rusotels Limited	BVI	1407339	Liftman Limited
304.	Russian GS Limited	Cyprus		
305.	Salwick Holding S.a.r.l.	Luxembourg		
306.	Samuel Finance S.a.r.l.	Luxembourg	108566	
307.	Sandown Holding S.a.r.l.	Luxembourg		
308.	Sarova Holdings Limited	BVI	1524130	
309.	Seaham Holding S.a.r.l.	Luxembourg	138141	
310.	Secretcorner Trading Limited	BVI	1462350	
311.	SellBrook Company Corp	Seychelles		
312.				
313.	Shalford Holding S.a.r.l.	Luxembourg		
314.	SIG Assets Management Limited	Seychelles	012024	
315.	Silverall Holdings Ltd	Cyprus		
316.	Silverghost Trading Limited	Cyprus	220949	
317.	Silworld Holdings Limited	Cyprus		
318.	Skywatch Services Ltd	BVI	1523632	
319.	Smarthead Investments Limited	BVI	1494629	

	Company Name	Jurisdiction	Registration No	Subsidiaries
320.	Smartinvest Services Limited	BVI		
321.	Smileworld Investments Ltd	BVI	1494644	
322.	Sovstar 1 (Cyprus) Limited	Cyprus	153130	
323.	Spacenet Services Limited	BVI	1522549	
324.	Specialrace Trading Ltd	Cyprus		
325.	Spiritbase Services Limited	Cyprus		
326.	Sprenwood Holdings Limited	BVI	1605749	
327.	Stanton Universal Limited	BVI	547197	
328.	Star-Atlantic Holding Inc	BVI	1045877	
329.	Statedown Enterprises Limited	Cyprus	184903	
330.	Statetower Enterprises Limited	Cyprus	184996	
331.	Steiman Corp			
332.	STL Company LLC	Russia		
333.	Stockstair Holdings Limited	Cyprus	198005	AIST LLC
334.	Storin Limited	BVI	544628	
335.	Striks Investments Limited			
336.	StroyInvest Kazan LLC	Russia	1071690047164	
337.	Stylewest Holdings Limited	Cyprus		
338.	Subona Trading Limited	Cyprus		
339.	Subtower Trading Limited	Cyprus		
340.	Sunwell Distributors Inc	BVI	1066692	
341.	Superleague Services Limited	Cyprus		
342.	Suprisimo Investments Limited			
343.	Svanlake Holding Limited	Cyprus	190960	
344.	Swainriver Holdings Limited	Cyprus		
345.	Sylvan Assets Inc	BVI	1562721	
346.	Tableros Holdings Limited	Cyprus		
347.	Tanna Holding S.a.r.l.	Luxembourg	131216	

	Company Name	Jurisdiction	Registration No	Subsidiaries
348.	Techlink Consulting Ltd	BVI	1044178	
349.	Top Quality Consulting Limited	BVI	1009713	
350.	Topwide Trading limited	Cyprus	246499	
351.	Topworld Services Limited	BVI	1420842	
352.	Torland Production Inc	BVI	1062907	
353.	Toros Limited	Seychelles	030746	
354.	Tortuga Limited	Seychelles		
355.	Tossima Limited	BVI	1064289	
356.	Trademark International Limited	BVI	1462348	
357.	Trainor Alley Corporation	Seychelles		
358.	Trasemino Investments Limited	Cyprus		
359.	Trefor Limited	BVI	1420482	
360.	Trombest Holdings Limited	BVI		
361.	Ungromado Limited	Cyprus		
362.				
363.	Uniflex Global Limited			
364.	Unishare Trading Limited			
365.	Urie Services Limited	BVI	1540532	
366.	Vaida Trading Limited	BVI	1607475	
367.	Velocita Holding B.V.	Holland		
368.	Venizon Holdings Limited	BVI	1524060	
369.	Venta LLC	Russia	1107746544454	
370.	Vern Trading Corporation	Seychelles	029805	
371.	Vernex Inc	Seychelles		
372.	Vetro Limited	BVI	1065558	
373.	Viascal Holdings Limited	Cyprus		
374.	Victan Limited	BVI	1064296	

	Company Name	Jurisdiction	Registration No	Subsidiaries
375.	Victoryland Investments Limited	Cyprus	246238	
376.				
377.	Vinsaw Investments Limited	BVI	1049727	
378.	Webcare Marketing Limited	Cyprus		
379.	Wenus Products Limited	Seychelles	028982	
380.	Whipland Investments Limited	BVI	1420874	
381.	White Avenue Limited	BVI	1562125	
382.	Wintop Services Limited	BVI	1522550	
383.	Xtrainvest Investments limited	Cyprus	206428	
384.	Yorkline Corp			
385.	Zaforim Investments Limited	Cyprus		
386.	Zaidies Limited			
387.	Zardoya Holding Limited			
388.	Zlatano Limited	Cyprus		
389.	Zociac Enterprises Limited	BVI	1462239	

**SCHEDULE 3C****The Additional Undisclosed Assets**

*The shares in, and (from the moment of service of this order on Mr Ablyazov's solicitors) the Direct and Indirect Assets of, the following companies:*

	<b>Company Name</b>	<b>Jurisdiction</b>	<b>Registration number</b>
1.	Airwood Corporation Limited		
2.	Algoway Investments Limited	Cyprus	
3.	Arfikona Limited	Seychelles	021188
4.	Devonton Investments Limited	Cyprus	183691
5.	Dinamic Tide Trading		
6.	Eurasia Logistics Limited	Jersey	98767
7.	Goverly Trading Limited		
8.	Haphaestion Holdings Limited	Cyprus	
9.	Harmony Technology Limited		
10.	Heritam Management Limited	BVI	1070778
11.	Innovalues Precision Limited		
12.	Irwood Commercial Limited	BVI	570048
13.	Linuxo Properties Limited		
14.	Mignola Services S.A.	Seychelles	
15.	Notula Trading Limited	Cyprus	
16.	Roskon Limited	BVI	1379210
17.	Seaworld Processing Limited	BVI	1420851
18.	Solmar Technologies Corp.	BVI	1044181
19.	Vetta (UK) LLP	UK	OC314091
20.	Warren Worldwide LLC	Seychelles	
21.	Whitestorm Holdings Limited	BVI	1420837
22.	Asa Trading Limited		
23.	Aston Color Limited	BVI	1064298



24.	Azure Way Limited	Seychelles	
25.	Casalake Trading Limited	Cyprus	
26.	Cryosphere Limited		
27.			
28.			
29.	Erawanco Limited		
30.	Holder Dom LLC / OOO Kholder Dom	Russia	1067746721591
31.	Konami Corporation Limited	Seychelles	26999
32.	Palmridge Holdings Limited	Cyprus	184906
33.	Salvepar Resources Limited		
34.	Smartwhere Limited	BVI	1517915
35.	Tunnelsink Holdings Limited	BVI	1517905

**SCHEDULE 3D****The Extra Undisclosed Assets**

*The shares in, and the Direct and Indirect Assets of, the following companies:*

	<b>Company Name</b>	<b>Jurisdiction</b>	<b>Registration number</b>
1.	Alasus Holding Limited	Cyprus	
2.	Asterius Holdings Limited	Cyprus	
3.	Creterius Finance Limited	Cyprus	
4.	Fendus Holding Limited	Cyprus	HE 251906
5.	Gallamist Investments Limited	Cyprus	
6.	Global Scale Corporation	Seychelles	
7.	Ladyhawk Holdings Limited	BVI	1532832
8.	Landusaco Holding Limited	Cyprus	
9.	Ludostad Properties Limited	BVI	1536275
10.	Melkus Finance Ltd	Cyprus	HE245410
11.	Parasus Finance Limited	Cyprus	HE 251918
12.	Peristar Holdings Limited	BVI	1536191
13.	Shallowlake Holdings Limited	Cyprus	
14.	Staterax Holding Limited	Cyprus	
15.	Stempel Holdings Ltd	BVI	1522709
16.	Utara Investments Ltd	BVI	1532811
17.	Xendus Holding Limited	Cyprus	
18.	Handcart Investments Limited	BVI	1420942
19.	Imerys Limited	Cyprus	C195218
20.	International Petroleum Services Group Limited	BVI	489785
21.	Proteus Group Holdings Limited	Seychelles	081568
22.	Septrade Limited	Seychelles	027294
23.	Valenora Co Limited	Cyprus	C196265

SCHEDULE 4

*Powers of each of the Receivers (in addition to all other powers vested in each Receiver by virtue of his appointment)*

1. Power to take possession of, collect, get in, receive and preserve the Property and the Undisclosed, Further Undisclosed, Additional Undisclosed and Extra Undisclosed Assets and the UK Properties.
2. Power to carry on the business of or associated with any part of the Property including, for the avoidance of doubt, the companies referred to in paragraph 1A of this order or any part of the Undisclosed, Further Undisclosed, Additional Undisclosed or Extra Undisclosed Assets or the UK Properties insofar as may be necessary for the preservation of its value provided for the avoidance of doubt that: (i) the Receivers shall not without further order of the Court carry on any business of the local operating companies identified in Schedule 3 of this order; (ii) the Receivers shall have no powers and take no steps in relation to any arbitral proceedings to which KT Asia Investment Group BV is a party (as to which the Bank and the Receivers shall have liberty to apply); and (iii) the Receivers shall have no powers and take no steps in relation to any claims brought by the Bank against the companies referred to in Schedules 3, 3A, 3B, 3C and 3D hereto (as to which the Bank and the Receivers shall have liberty to apply).
3. Power to retain and operate existing bank accounts and to transfer such of the Property and such of the Undisclosed, Further Undisclosed, Additional Undisclosed or Extra Undisclosed Assets as he is able to transfer into an account in his own name or under his control.
4. Power to transfer any shares, assets, property or ownership rights that are the subject of his appointment to himself or to a suitable person to hold the same on trust or as nominee or agent on his behalf.
5. Power to exercise such voting or other rights or powers attaching to any shareholding or other security (including, without limitation, power to exercise such voting or other rights to change the membership of a legal entity's board of directors or other governing body).
6. Power to take all such steps as may be necessary to cause the registration of themselves (or their nominees) as the registered holders of any shares included in the Property or the Undisclosed, Further Undisclosed, Additional Undisclosed or Extra Undisclosed Assets.
7. Power to take all such steps as may be necessary to prevent or rectify any alteration of the share capital or ownership of any company whose shares are included in the Property or in the Undisclosed, Further Undisclosed, Additional Undisclosed or Extra Undisclosed Assets or the alteration or variation of the classes or of the class rights of its shares which would adversely affect that shareholding whether by reduction of capital or the issuing or allotment of new shares or otherwise howsoever.
8. Power to take all such steps as may be necessary to prevent or rectify any acts that adversely affect the value of any shares included in the Property or the Undisclosed,

Further Undisclosed, Additional Undisclosed or Extra Undisclosed Assets (subject to paragraph 2 above).

9. Power to assign the benefit of any contract to himself or a trustee, nominee or agent on his behalf.
10. Power to bring or defend any action or other legal proceedings in the Courts of this or any other country in order to achieve the purposes of the receivership. For the avoidance of doubt and without limitation, the Receivers shall have power to take all such steps as they deem expedient in relation to (i) the Valenora Proceedings (whether in their own name and/or in the name of Valenora Co Limited), including (if appropriate) to discontinue the same and (ii) such further proceedings as relate to such monies as are due to Chrysopa Holding BV ("**Chrysopa**") or International Petroleum Services Group Limited ("**IPSG**") and/or Valenora Co Limited ("**Valenora**") pursuant to a purported sub-loan agreement entered into between Chrysopa and Usarel Investments Limited dated 10 July 2008 (as subsequently amended) (whether by way of amendments to the Valenora Proceedings or otherwise and whether brought by the Receivers in their own name and/or in the name of Chrysopa and/or IPSG and/or Valenora).
11. Power to appoint a solicitor, accountant and/or other appropriate person (including his partners, divisions within and employees of his firm) to assist him in the performance of his duties.
12. Power to do all such things as may be necessary for the preservation and maintenance of the Property and the Undisclosed, Further Undisclosed, Additional Undisclosed or Extra Undisclosed Assets or the UK Properties or any of the share certificates, securities, books, instruments evidencing title and other documents and records (whether electronic or otherwise) that are required hereunder to be delivered up.
13. Power to appoint any trustee, nominee or agent to take any step which he is unable to do himself or which can more conveniently be done by such person.
14. Power to effect or maintain policies of insurance in respect of any property or assets within his possession or control.
15. Power to do any act or execute any deed, receipt or document or to make any payment which is necessary or incidental to his functions or the exercise of the foregoing powers.

**SCHEDULE 5**

*Persons referred to in paragraph 20(3) above*

**1. CSPS, AFFILIATED LAW FIRMS AND OTHER THIRD PARTIES**

Alick Lawrence Attorney at Law

Andreas P. Dimitriadis & Synergates

Andreas Sofocleous & Co

Ashbury & Bloom Ltd

Aspen Trust Group Ltd

Christodoulos G. Vassiliades

Christodoulos G. Vassiliades & Co LLC

Chrysses Demetriades & Co LLC

Consulco

Consulco & Partners

DS Express

Elias Christofi & Co.

Fiduserve Overseas Limited

GMA Auditors Limited

GWM Center

Hugh F Shaw & Co

International Overseas Services Inc

K. Treppides & Co

Karibouni Corporate Services Limited

Michael Berry

N.K. Oriaka Management Limited

Papachristophorou & Co

PNO Law Firm LLC

Profserve Limited

Proteus Consulting & Services Limited

Quijado & Asociados

Starport Nominees Limited

Unitrust Corporate Services Limited

Worldwide Corporate Services Limited

## **2. REGISTERED AGENTS**

A.C.T. - Offshore Limited

Aleman, Cordero, Galindo & Lee Trust (BVI) Limited

All About Offshore (Seychelles) Limited

Alpha IBC Secretaries Ltd

AMS Financial Services

AMS Trustees Limited

Andreas Demetriades

Annie Yeap

Apollo Business Solutions (PTY)

Aspen Secretarial Services Limited

Augi Kalogirou

Avomar Limited

Belserve Consultants Limited

Benedetti & Benedetti

Best Corporate Services Inc

Cherkoules Jason Christian

Christofi Secretarial Limited

Clifton SC Limited

Commonwealth Trust Limited

Cyproservus Co. Limited

Daria Kozlova

Derbent Services Limited

Dilea Secretarial Limited

DMS Corporate Services Ltd

Elena Hadjiroussou

Equity Trust (BVI) Limited  
Equity Trust Co (Luxembourg) SA  
Fidappoint Secretarial Limited  
Fidelity Corporate Services Ltd  
Fiduserve Management Limited  
Georgia Karides  
Giorgoula Zinonos  
Harneys Corporate Services Limited

ILS Fiduciary (BVI) Limited  
ING Trust (BVI) Limited  
International Investment Services Ltd.  
International Law & Corporate Services (Pty) Ltd  
Intershore Consult (Pty) Ltd  
Jordans (Caribbean) Ltd  
Jordans (Seychelles) Limited  
Mayfair Trust Group Limited  
Medwell Holdings Limited  
Morgan & Morgan Trust Corporation Limited  
Mossack Fonseca & Co. (BVI) Ltd  
Mossack Fonseca & Co. (Panama)  
Mossack Fonseca & Co. (Seychelles) Ltd  
Nikolaou Charalampous Despoina  
Orthodoxia Pericleous  
Panayiota Eleftheriou  
Panayiotis Savva  
Patton, Moreno & Asvat (BVI) Limited  
Paul Kythreotis  
Platform Trustees Ltd

Plenexus Limited  
PKM Management Limited  
Portcullis TrustNet (BVI) Limited  
PPT Secretarial Limited  
Primeserve Secretarial Limited  
Regiserve Secretarial Limited  
St Vincent Trust Service Limited  
Skysec Secretarial Limited  
Starport Secretaries Limited  
Sucre & Sucre Trust (BVI) Limited  
The Trust Company of the Marshall Islands Inc.  
Top Secretarial Limited  
Totalserve Trust Company Limited  
Trea Secretarial Limited  
Trident Trust Company (BVI) Limited  
Trustone Services Limited  
Vimatex Ltd  
Waterlow Registrars Limited  
Woodmax Investments Limited  
Zoulian Limited

### **3. BANKS**

Alpha Bank  
OJSC Promsvyazbank  
Marfin Popular Bank  
Hellenic Bank  
Bank of Cyprus  
Baltikums Bank AS  
Commerzbank (Eurasija) SAO  
European American Investment Bank AG



Eurohypo AG

ING Bank (Luxembourg) SA

LGT (Schweiz) Bank

AS Rietumu Banka

Trasta Komerbanka

Eurobank EFG Cyprus Limited

#### **4. LIQUIDATORS**

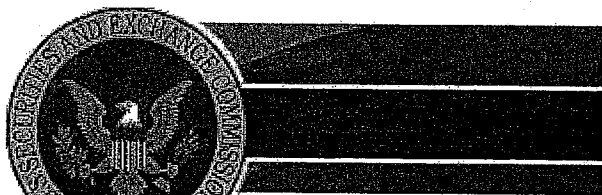
Christina Cornelia van den Berg

Damjan Glusica

Edward Petre-Mears

Sarah Petre-Mears

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**BANK OF AMERICA NA /MSD CIK#: 0000009586 (see all company filings)**

State location: NY

formerly: BANK OF AMERICA NA /MSD (filings through 2007-10-12)

Business Address

ONE BRYANT PARK,

NY1-100-04-01

NEW YORK NY 10036

6468552236

Mailing Address

901 W. TRADE

STREET

NC1-003-04-26

CHARLOTTE NC

28255

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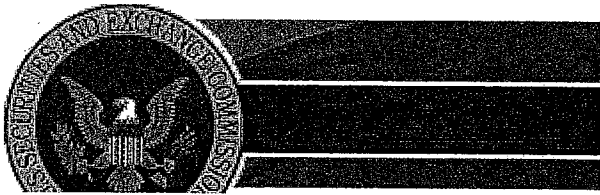
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MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-12-010921 (34 Act) Size: 1 KB	2012-06-22	086-00146 12061887
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-12-010903 (34 Act) Size: 1 KB	2012-05-23	086-00146 12061832
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-12-010918 (34 Act) Size: 1 KB	2011-06-13	086-00146 11025135
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-11-000763 (34 Act) Size: 1 KB	2011-01-24	086-00146 11015231
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-11-000754 (34 Act) Size: 1 KB	2010-12-03	086-00146 10032702
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-10-016654 (34 Act) Size: 1 KB	2010-08-06	086-00146 10031719
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-10-017676 (34 Act) Size: 1 KB	2010-05-05	086-00146 10031942
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-09-026629 (34 Act) Size: 1 KB	2009-10-21	086-00146 09042663
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-09-022868 (34 Act) Size: 1 KB	2009-07-14	086-00146 09041913
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-08-045232 (34 Act) Size: 1 KB	2008-11-03	086-00146 08032298
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-08-032273 (34 Act) Size: 1 KB	2008-07-16	086-00146 08032180
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-08-026033 (34 Act) Size: 1 KB	2008-05-21	086-00146 08031730
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-08-005384 (34 Act) Size: 1 KB	2008-01-25	086-00146 08025280
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-07-052074 (34 Act) Size: 1 KB	2007-10-12	086-00146 07008077
MSD/A		[Amend][Paper]Registration of Municipal Securities Dealer Acc-no: 9999999997-08-000066 (34 Act) Size: 1 KB	2007-05-07	086-00146 07000139

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**BARCLAYS BANK PLC/G/ /GFN CIK#: 0001042452**  
**(see all company filings)**

State location: NY

Business Address	Mailing Address
75 WALL ST	222 BROADWAY
NEW YORK NY 10265	NEW YORK NY 10038
2124123544	

Filter Results:	Filing Type: _____	Prior to: (YYYYMMDD) _____	Ownership? <input checked="" type="radio"/> include <input type="radio"/> exclude <input type="radio"/> only	Limit Results Per Page 40 Entries	<input type="button" value="Search"/> <input type="button" value="Show All"/>
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Filings	Format	Description	Filing Date	File/Film Number
G-FIN/A	<input checked="" type="radio"/> Documents	[Amend][Paper]notification by financial institutions of status Acc-no: 9999999997-03-020334 Size: 1 KB	2003-04-11	011-00375 03018553

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- ✚ **First Hawaiian Bank branches**
- ✚ **BNP Paribas Dallas Texas**
- ✚ **BNP Paribas Chicago**
- ✚ **BNP Paribas Houston**
- ✚ **BNP Paribas King of Prussia**
- ✚ **BNP Paribas New York**

#### **The Equitable Center**

787 Seventh Avenue  
New York, NY 10019  
Tel.: 212-841-2000  
Fax: 212-841-3251



[Map and route](#)

#### **Jersey City**

525 Washington Blvd,  
Jersey City, NJ, 07310  
Tel: 201-850-4000



[Map and route](#)

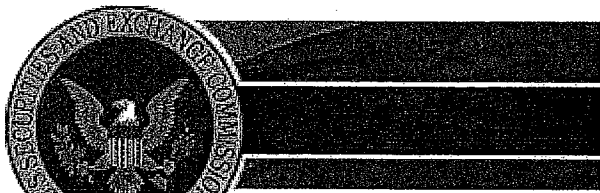
#### **Fischer Francis Trees & Watts**

200 Park Avenue,  
New York, NY 10166  
Tel.: 212-681-3000  
Fax: 212-681-3250



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- ✚ **BNP Paribas San Francisco**


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**CITIBANK NA CIK#: 0000036684 (see all company filings)**

State location: NY | Fiscal Year End: 1231

Get insider transactions for this reporting owner.

Business Address	Mailing Address
399 PARK AVENUE	C/O LEGAL AFFAIRS
NEW YORK NY 10043	OFFICE
2125591000	425 PARK AVENUE
	2ND FLOOR
	NEW YORK NY 10043

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Filings	Format	Description	Filing Date	File/Film Number
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-12-000269 (34 Act) Size: 2 KB	2012-11-14	028-04287 121201272
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-12-000192 (34 Act) Size: 2 KB	2012-08-14	028-04287 121029908
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-12-000121 (34 Act) Size: 2 KB	2012-05-15	028-04287 12841312
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-12-000045 (34 Act) Size: 2 KB	2012-02-14	028-04287 12602818
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-11-000336 (34 Act) Size: 2 KB	2011-11-14	028-04287 111201013
13F-NT/A		[Amend] Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-11-000292 (34 Act) Size: 2 KB	2011-11-01	028-04287 111172095
40-APP		Application for exemption and other relief filed under the Investment Company Act of 1940 Acc-no: 0001144204-11-058535 (40 Act) Size: 308 KB	2011-10-20	812-13970-05 111148767
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-11-000244 (34 Act) Size: 2 KB	2011-08-15	028-04287 111033654
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-11-000133 (34 Act) Size: 2 KB	2011-05-16	028-04287 11843952
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-11-000044 (34 Act) Size: 3 KB	2011-02-14	028-04287 11603908
APP ORDR		40-APP Order Acc-no: 9999999997-10-019633 (40 Act) Size: 27 KB	2010-11-24	812-13808-05 101197445
APP NTC		40-APP Notice Acc-no: 9999999997-10-019632 (40 Act) Size: 50 KB	2010-11-24	812-13808-05 101197425
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-10-000272 (34 Act) Size: 3 KB	2010-11-15	028-04287 101190942
40-APP/A		[Amend] Application for exemption and other relief filed under the Investment Company Act of 1940 Acc-no: 0001144204-10-054401 (40 Act) Size: 281 KB	2010-10-19	812-13808-05 101130043
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		Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-10-000194 (34 Act) Size: 3 KB	2010-08-13	028-04287 101013384
40-APP/A	<a href="#">Documents</a>	[Amend]Application for exemption and other relief filed under the Investment Company Act of 1940 Acc-no: 0001144204-10-040425 (40 Act) Size: 281 KB	2010-07-30	812-13808-05 10981554
40-APP	<a href="#">Documents</a>	Application for exemption and other relief filed under the Investment Company Act of 1940 Acc-no: 0001144204-10-040081 (40 Act) Size: 281 KB	2010-07-29	812-13808-05 10978088
13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-10-000125 (34 Act) Size: 3 KB	2010-05-17	028-04287 10837488
13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-10-000036 (34 Act) Size: 3 KB	2010-02-12	028-04287 10595364
13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-09-002272 (34 Act) Size: 3 KB	2009-11-10	028-04287 091172016
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13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-09-002170 (34 Act) Size: 3 KB	2009-08-14	028-04287 091013087
40-APP/A	<a href="#">Documents</a>	[Amend]Application for exemption and other relief filed under the Investment Company Act of 1940 Acc-no: 0001104659-09-048493 (40 Act) Size: 724 KB	2009-08-10	812-13656-22 09998649
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40-APP	<a href="#">Documents</a>	Application for exemption and other relief filed under the Investment Company Act of 1940 Acc-no: 0001144204-09-013637 (40 Act) Size: 288 KB	2009-03-12	812-13641-03 09676588
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13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-08-001136 (34 Act) Size: 3 KB	2008-11-13	028-04287 081183966
13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-08-000474 (34 Act) Size: 3 KB	2008-08-14	028-04287 081014550
13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-08-000383 (34 Act) Size: 3 KB	2008-05-14	028-04287 08831806
13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-08-000055 (34 Act) Size: 3 KB	2008-02-14	028-04287 08613177
13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-07-000361 (34 Act) Size: 3 KB	2007-11-14	028-04287 071244277
13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-07-000240 (34 Act) Size: 3 KB	2007-08-14	028-04287 071054966
13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-07-000161 (34 Act) Size: 3 KB	2007-05-15	028-04287 07852353
13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-07-000083 (34 Act) Size: 3 KB	2007-02-14	028-04287 07620395
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13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000831001-06-000264 (34 Act) Size: 3 KB	2006-08-14	028-04287 061029939
13F-NT	<a href="#">Documents</a>			



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Acc-no: 0000831001-06-000179 (34 Act) Size: 3 KB

2006-05- 028-04287  
15 06840291

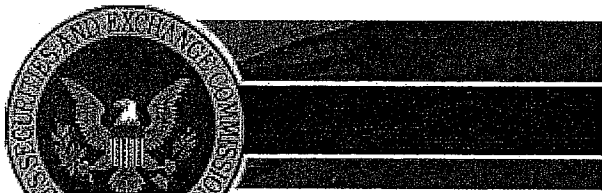
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**COMMERZBANK AG /ADR/ CIK#: 0000839463 (see all company filings)**

SIC: 8880 - UNKNOWN SIC - 8880

State location: NY | State of Inc.: 2M | Fiscal Year End: 1231

(Assistant Director Office: 99)

Business Address	Mailing Address
2 WORLD FINANCIAL CENTER	2 WORLD FINANCIAL CENTER
NEW YORK NY 10281	NEW YORK NY 10281
2122667581	

Filter Results:	Filing Type:	Prior to: (YYYYMMDD)	Ownership? <input checked="" type="radio"/> include <input type="radio"/> exclude only	Limit Results Per Page 40 Entries <input checked="" type="checkbox"/>	<input type="button" value="Search"/> <input type="button" value="Show All"/>
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Items 1 - 8 RSS Feed

Filings	Format	Description	Filed/Effective	File/Film Number
EFFECT		Notice of Effectiveness Acc-no: 9999999995-11-002741 (33 Act) Size: 1 KB	2011-09-16 09:00:00	333-176649 111096360
F-6		Registration of American Depositary Receipt shares, not immediately effective Acc-no: 0001019155-11-000405 (33 Act) Size: 185 KB	2011-09-02	333-176649 111072852
F-6EF		Registration of American Depositary Receipt shares, immediately effective Acc-no: 0001019155-05-000127 (33 Act) Size: 120 KB	2005-06-17	333-125896 05902618
SC 13G/A		[Amend]Statement of acquisition of beneficial ownership by individuals Acc-no: 0000903423-05-000014 Size: 9 KB	2005-01-06	
SC 13G		Statement of acquisition of beneficial ownership by individuals Acc-no: 0000903423-04-000953 Size: 9 KB	2004-10-21	
SC 13G/A		[Amend]Statement of acquisition of beneficial ownership by individuals Acc-no: 0000903423-04-000924 Size: 9 KB	2004-10-07	
SC 13G		Statement of acquisition of beneficial ownership by individuals Acc-no: 0000903423-04-000801 Size: 10 KB	2004-08-23	
SC 13G/A		[Amend]Statement of acquisition of beneficial ownership by individuals Acc-no: 0000839463-03-000002 Size: 10 KB	2003-08-12	

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In the United States and Canada, Crédit Agricole CIB offers the full range of the Corporate and Investment Bank's expertise through four dedicated business lines:

- Coverage: of large corporates and financial institutions, both large US clients and international group clients,
- the Structured Finance teams: a renowned expertise, particularly in the energy, infrastructure, transportation, real estate, and lodging sectors.
- the Capital Markets division: strong expertise in foreign exchange, interest rates, commodities, USD bonds, and securitisation solutions,
- Equity Brokerage activities which pull together European (CA Cheuvreux), Asian (CLSA), and US research and sales expertise.

---

Crédit Agricole CIB's long-term relationships with US-based and international customers are based on the Bank's capacity to provide competitive and differentiated products.

Worth noting is the Bank's particular expertise in energy and infrastructure, including structured financing of Public and Private Partnerships (PPPs) and renewable energy projects.

In capital markets, Crédit Agricole CIB provides unique solutions, for example in commodities hedging, USD bond primary issues, and trade receivables or term ABS Asset-Backed Security: fixed income security issued by a special purpose vehicle (SPV) to refinance the purchase from a bank or other financial institution, a corporate, public entity or other seller of specified assets (e.g. bank loans). The ABS interest and principal repayments are paid out of cash-flow generated by the purchased assets. securitisations.

---

A specialised client focus complements longstanding coverage of corporate clients through the banking teams in the United States, Canada and Latin America. Crédit Agricole CIB in the Americas has developed a client base of more than 200 companies. Crédit Agricole CIB Americas' headquarters are in New York City, with other US offices in Chicago, Houston and Boston as well as offices in Canada, Mexico, Argentina and Brazil.

---

Located in New York, the Crédit Agricole Group Liaison Desk in the United States assists Crédit Agricole's mid-cap Companies with a Market capitalisation. It corresponds to the value of a company as determined by the market. It is calculated by multiplying the number of

outstanding shares by the current market price of a share. of between EUR 250 million and EUR 1,000 million. corporate customers with their international operations, by providing expertise on the local environment as well as by securing access to a wide spectrum of banking services abroad.

Contact:

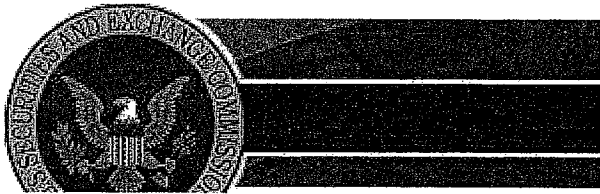
Crédit Agricole Group Liaison desk in North America  
1301 Avenue of the Americas,  
NEW YORK, NY 10019

More information

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Communications

[infoamericas@ca-cib.com](mailto:infoamericas@ca-cib.com)


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**DEUTSCHE BANK TRUST CO AMERICAS CIK#:**  
**0001173854 (see all company filings)**

State location: NY

 Business Address      Mailing Address  
 60 WALL STREET  
 25TH FLOOR  
 NEW YORK NY 10005  
 2126023761

Filter Results:	Filing Type: _____	Prior to: (YYYYMMDD) _____	Ownership? <input checked="" type="radio"/> include <input type="radio"/> exclude only	Limit Results Per Page 40 Entries <input checked="" type="checkbox"/>	<input type="button" value="Search"/> <input type="button" value="Show All"/>
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Items 1 - 16 RSS Feed

Filings	Format	Description	Filing Date	File/Film Number
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000948046-12-000125 (34 Act) Size: 2 KB	2012-11-19	028-13777 121212783
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000948046-12-000102 (34 Act) Size: 2 KB	2012-08-15	028-13777 121035666
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000948046-12-000071 (34 Act) Size: 2 KB	2012-05-15	028-13777 12843469
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000948046-12-000044 (34 Act) Size: 2 KB	2012-02-15	028-13777 12615033
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000948046-11-000129 (34 Act) Size: 2 KB	2011-11-14	028-13777 111201962
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000948046-11-000105 (34 Act) Size: 2 KB	2011-08-15	028-13777 111035251
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000948046-11-000076 (34 Act) Size: 2 KB	2011-05-16	028-13777 11844098
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000948046-11-000041 (34 Act) Size: 3 KB	2011-02-14	028-13777 11607990
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000948046-10-000203 (34 Act) Size: 3 KB	2010-11-17	028-13777 101198399
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000948046-10-000181 (34 Act) Size: 3 KB	2010-11-15	028-13777 101192104
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000948046-10-000142 (34 Act) Size: 3 KB	2010-08-13	028-13777 101013458
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000948046-10-000084 (34 Act) Size: 3 KB	2010-05-14	028-13777 10831952
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000948046-10-000043 (34 Act) Size: 3 KB	2010-02-16	028-13777 10602379
40-6C/A		[Amend][Paper]Application for exemption and other relief [Section 6(c)] Acc-no: 9999999997-06-050075 (40 Act) Size: 1 KB	2006-12-21	812-13212 06065107
40-6C		[Paper]Application for exemption and other relief [Section 6(c)] Acc-no: 9999999997-05-031138 (40 Act) Size: 1 KB	2005-07-07	812-13212 05059852
40-6C				

[Paper]Application for exemption and other relief [Section 6(c)]  
Acc-no: 9999999997-02-030053 Size: 3 KB

2002-05- 812-12822-01  
01 02035703

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## Habib American Bank

December 17, 2012

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### About Us

- Corporate Profile
- Mission Statement
- Core Values
- Board of Directors
- Management
- Locations
- Employment

### About Us

#### Corporate Profile

Habib American Bank (HAB) was incorporated in 1983 as a New York State Chartered Bank. HAB is a member of Federal Deposit Insurance Corporation (FDIC). Headquartered in New York, the bank expanded by opening branches in Queens, New York, and subsequently in Los Angeles, California and New Jersey. HAB also has an International Banking Facility (IBF) that provides correspondent services to international banks.

HAB specializes in Financial Institution Services, International Trade Finance, Asset Based Lending, Commercial and Residential Mortgages and Consumer Banking Services. Our family of Consumer Banking Products includes HAB eBanking, Remote Deposit Capture, Habib Access Debit Card, Visa Credit Card & 24 Hour Telephone Banking.

As we celebrate our 3rd decade of operations, we continue to emphasize our focus on adding value to services provided to our niche consisting of corporate, consumer and correspondent banking customers. HAB is indeed.....

**"Your Local Bank with International Experience"**

#### Mission Statement

Habib American Bank's mission is to provide a broad range of commercial and personal banking products and services to a diverse community of globally oriented customers. Our customers can expect that we will consistently deliver exceptional customer service in every aspect of our business dealings resulting in profitable long-term relationships.

#### Core Values

Every employee will strive to provide superior service with the best possible value, above the industry standard, thereby encouraging customers to choose and remain with our bank as their preferred banking partner.

We will adhere to the highest levels of honesty, integrity and efficiency in providing value added services to our customers, thereby creating an ongoing relationship of trust and confidence in all their dealings with the bank.

We will manage and grow our assets with exceptional fiduciary care yet strive to produce a profit which will enable us to enhance the lives of our employees, customers and the communities in which we live and work.

Our management team will provide continuity in leadership, demonstrate vision in a competitive marketplace and strive always to inspire confidence and trust in their relationships with their employees and customers.

Recognizing that our employees are our most valuable asset and our competitive advantage, we will provide them with the resources and services needed to support the achievement of our mission and endeavor to protect the special values and culture of our organization.

#### Board of Directors

Our Board of Directors, mentioned below, consists of present as well as former bankers with extensive experience in the field of banking.

<b>A.G. Abbasi</b>	Chairman
<b>Arvid Nelson</b>	Vice Chairman
<b>Muhammad H. Habib</b>	Director
<b>Douglas A. Russell</b>	Director
<b>William S. Mason, Jr.</b>	Director

<b>Dennis Dunn</b>	Director
<b>Navneet S. Chugh</b>	Director
<b>Saleem Iqbal</b>	Director

#### **Management**

Our Management Team consists of experienced bankers with extensive banking experience. The following are members of our management team.

<b>Saleem Iqbal</b>	President & Chief Executive Officer
<b>Mirza Ejaz Hussain</b>	S.E.V.P. & Head of Correspondent Banking Division
<b>Rizwan Qureshi</b>	S.E.V.P. & Chief Compliance Officer
<b>Javed Karim</b>	E.V.P. & Senior Credit Officer
<b>Zilay Wahidy</b>	S.V.P. & Marketing Executive
<b>Abbas Somjee</b>	2nd Vice President & Financial Controller
<b>Amina Hashim</b>	Secretary to the Board

#### **Locations**

##### **Manhattan Branch**

99 Madison Avenue  
New York, NY 10016  
Monday-Friday 9:00 AM-3:30 PM  
Telephone: (212) 532-4444  
Fax: (212) 532-8273

##### **Jackson Heights Branch**

74-05/07 37th Avenues  
Jackson Heights, NY 11372  
Monday-Friday 9:00 AM - 3:30 PM  
Saturday 11:00 AM - 2:00 PM  
Telephone: (718) 397-0890  
Fax: (718) 397-0871

##### **Los Angeles Branch**

110 East 9th Street  
Los Angeles, CA 90079  
Monday-Friday 9:00 AM - 4:00 PM  
Telephone: (213) 362-1200  
Fax: (213) 362-1201

##### **Artesia Branch**

18357 Pioneer Boulevard  
Artesia, CA 90701  
Monday - Friday 9:00 AM - 4:00 PM  
Saturday 10:00 AM - 2:00 PM  
Telephone: (562) 924-7500  
Fax: (562) 924-7521

##### **Iselin Branch**

1585 Oak Tree Road  
Iselin, NJ 08830  
Monday-Friday 9:00 AM - 4:00 PM  
Saturday 10:00 AM - 1:00 PM  
Telephone: (732) 205-1777  
Fax: (732) 205-1772

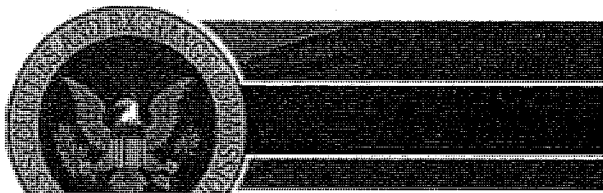
##### **Hicksville Branch**

421 South Broadway  
Hicksville, NY 11801  
Monday - Friday 9:00 AM - 4:00 PM  
Saturday 10:00 AM - 2:00 PM  
Telephone: (516) 681-5200  
Fax: (516) 681-5267

##### **Richmond Hill Branch**

112-17/19 Liberty Avenue  
Richmond Hill, NY 11419  
Monday - Friday 9:00 AM - 4:00 PM  
Saturday 10:00 AM - 2:00 PM  
Telephone: (718) 659-9000




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**HSBC BANK USA /GFN CIK#: 0000823422 (see all company filings)**

State location: NY

 Business Address      Mailing Address  
 452 FIFTH AVE  
 NEW YORK NY 10018

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Filings	Format	Description	Filing Date	File/Film Number
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  - [Personal Banking](#)
  - [Business Banking](#)
  - [U.S. Private Banking](#)
  - [International Private Banking](#)
  - [Cash Management](#)
  - [Investment Management & Trust](#)
  - [Capital Markets](#)
- [Lending Services](#)
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  - [Time Deposits](#)
  - [Structured Time Deposits](#)

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- [Short Hills Branch](#)
- [Aventura Branch](#)
- [Beverly Hills Branch](#)
- [Downtown Los Angeles Branch](#)
- [Cayman Islands Branch](#)
- [Personal Banking](#)
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- [Not-For-Profit Pros<sup>®</sup>](#)

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- Careers
- Representative Offices
- Broker-Dealer Services through IDB Capital Corp.

## MEMBER FDIC

## Contact Us

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To report a lost or stolen ATM/Debit card call 1-866-IDB-5825

---

### HOURS OF OPERATION:

IDB Bank branch hours are 9:00am – 3:30pm in their respective time zones.

IDB Bank office hours are 9:00am – 5:00pm in their respective time zones.

### BANK HOLIDAYS:

Please be advised that the Bank and all of its branches will be officially closed in observance of the following holidays:

#### 2012

January 2 - New Year's Day (Sun. observed Mon.)

January 16 - Dr. Martin Luther King, Jr. Day

February 20 - President's Day

April 13 - Passover (7th Day)

May 28 - Shavuot (2nd Day)/Memorial Day

July 4 - Independence Day

September 3 - Labor Day

September 17 - Rosh Hashanah (1st Day)

September 18 - Rosh Hashanah (2nd Day)

September 26 - Yom Kippur

October 1 - Sukkot (1st Day)

October 2 - Sukkot (2nd Day)

October 8 - Shemini Atzeret/Columbus Day

October 9 - Simchat Torah

November 12 - Veteran's Day (Sun. observed Mon.)

November 22 - Thanksgiving Day

December 25 - Christmas Day

---

### NEW YORK

#### Head Office

IDB Bank  
511 Fifth Avenue  
New York, NY 10017  
Tel: (212) 551-8500

---

#### Staten Island Branch

IDB Bank  
201 Edward Curry Avenue, Suite 204  
Staten Island, NY 10314  
Tel: (718) 698-4892

---

NEW JERSEY Short Hills Branch

IDB Bank  
150 JFK Parkway  
Short Hills, NJ 07078  
Tel: (973) 379-8699

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FLORIDA

Aventura Branch

IDB Bank  
Harbour Centre  
18851 NE 29th Avenue, Suite 600  
Aventura, FL 33180  
Tel: (305) 682-3700

Telecommunication Device for the Hearing Impaired:  
(305) 682-3792

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CALIFORNIA

Beverly Hills Branch

IDB Bank  
9401 Wilshire Blvd., Suite 600  
Beverly Hills, CA 90212  
Tel: (310) 860-6320

---

Downtown Los Angeles Branch

IDB Bank  
888 South Figueroa Street, Suite 550  
Los Angeles, CA 90017  
Tel: (213) 861-6440

Telecommunication Device for the Hearing Impaired:  
(310) 276-8437

---

CAYMAN ISLANDS

Cayman Islands Branch

P. O. Box 694GT  
George Town  
Grand Cayman, British West Indies

---

Personal Banking

New York

Elliot Tolchin, FVP  
Tel: (212) 551-8708

Terry Nidich, AVP  
Tel: (212) 714-5662

**California**

Moti Levy-Tsedek, FVP & Branch Manager  
Tel: (213) 861-6455

---

Business Banking

**New York**

Elliot Tolchin, FVP  
Tel: (212) 551-8708

Terry Nidich, AVP  
Tel: (212) 714-5662

**Florida**

Moise Hillel, EVP & Regional Manager  
Tel: (305) 682-3725

**California**

Moti Levy-Tsedek, FVP & Branch Manager  
Tel: (213) 861-6455

---

U.S. Private Banking

**New York**

James LoGatto, EVP  
Tel: (212) 551-8508  
IDB Bank  
511 5th Avenue, NY 10017

**California**

Gal Ben-Naim, FVP  
Tel: (310) 860-6334

**Florida**

Charles K. Miller, FVP  
Tel: (305) 682-3739

---

International Private Banking

---

Middle Market Lending

**New York**

Lissa Baum, EVP and Group Leader  
Tel: (212) 551-8751

Howard Weinberg, FSVP and Group Head  
Tel: (212) 551-8085

George Commander, SVP  
Tel: (212) 551-8755

**Florida**

Roger Arsham, SVP Middle Market & Corporate Lending  
Tel: (305) 682-3781

**California**

Yoav Peled, EVP and Regional Manager for California  
Tel: (310) 860-6350

---

Asset Based Lending

Roy Grossman, SVP 1 and Department Head  
Tel: (212) 551-8550

---

Trade and Finance

Vincent A. Forzano, VP  
350 Fifth Avenue  
New York, NY 10118-2091  
20th Floor, Suite 2001  
(212) 216-1042

---

Commercial Real Estate Lending

**New York**

Sten F.B. Sandlund, SVP, Manager, NY Real Estate Lending  
Tel: (212) 551-8127

**Florida**

John White, SVP Commercial Real Estate Lending  
Tel: (305) 682-3734

**California**

Yoav Peled, EVP & Regional Manager for California  
Tel: (310) 860-6322

Lorraine Drasser, SVP, Los Angeles Real Estate Division  
Tel: (213) 861-6444

---

TRADEMARK FINANCING<sup>SM</sup>

Howard Weinberg, FSVP and Group Head  
IDB Bank  
511 5th Avenue  
New York, NY 10017  
Tel: (212) 551-8085

---

IDB Factors® (division of IDB Bank)

**New York**

Louis G. Barone, SVP and Division Head  
IDB Factors  
511 Fifth Avenue  
New York, NY 10017  
Tel: (212) 551-8778

**California**

IDB Factors  
888 South Figueroa Street, Suite 550  
Los Angeles, CA 90017  
Tel: (310) 860-6330

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PRO2PRO®

---

Not-for-Profit Pros®

---

Corporate Communications

Teresa Murphy, VP  
Tel: (212) 551-8927

---

REPRESENTATIVE OFFICES

**Israel**

Ofek House  
8 Ha'Manofim Street, 3rd Floor  
P.O. Box 12406  
Herzliya Pituach, 46733  
Israel

**Chile**

Av. Vitacura 2771, Of. 804  
Santiago, Chile

---

SUBSIDIARY BANK

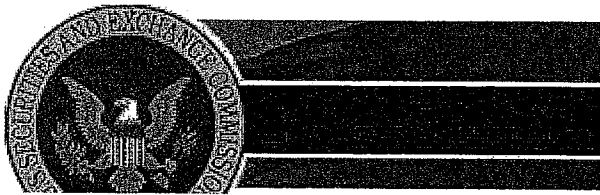
**Discount Bank Latin America**  
Rincón 390  
Montevideo, Uruguay

---

Broker-Dealer Services

IDB Capital Corp. (subsidiary of IDB Bank)

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**JP MORGAN CHASE BANK CIK#: 0001208636 (see all company filings)**

SIC: 8880 - UNKNOWN SIC - 8880

State location: NY

(Assistant Director Office: 99)

Get insider transactions for this reporting owner.

Business Address	Mailing Address
1CHASE	
MANHATTAN PLAZA	
40TH FLOOR	
NEW YORK NY 10081	
2125524904	

Filter Results:	Filing Type: <input type="text"/>	Prior to: (YYYYMMDD) <input type="text"/>	Ownership? <input type="radio"/> include <input checked="" type="radio"/> exclude only	Limit Results Per Page 40 Entries <input type="text"/>	<input type="button" value="Search"/> <input type="button" value="Show All"/>
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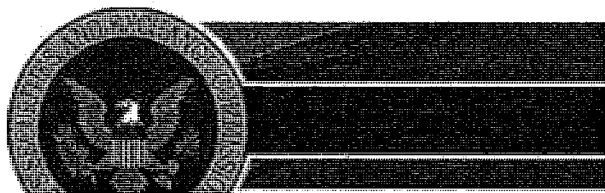
Items 1 - 2 RSS Feed

Filings	Format	Description	Filing Date	File/Film Number
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F-6EF	<input type="button" value="Documents"/>	Registration of American Depository Receipt shares, immediately effective Acc-no: 0000950117-03-003399 Size: 51 KB	2003-08-01	333-107610 03818833

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**SOCIETE GENERALE NEW YORK BRANCH CIK#:**  
**0001238163 (see all company filings)**

State location: NY

Business Address	Mailing Address
1221 AVENUE OF THE AMERICAS NEW YORK NY 10020 212-278-7028	

Filter Results:	Filing Type:	Prior to: (YYYYMMDD)	Ownership? <input checked="" type="radio"/> include <input type="radio"/> exclude only	Limit Results Per Page 40 Entries	<input type="button" value="Search"/> <input type="button" value="Show All"/>
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Items 1 - 1 RSS Feed

Filings	Format	Description	Filing Date	File/Film Number
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## **WHOLESALE BANKING**

### **USA**

#### **New York, New York**

Standard Chartered Bank  
1095 Avenue of the Americas  
New York, NY 10036  
Tel: +1 212 667 0700  
Fax: +1 212 667 0380

#### **Newark, New Jersey**

Standard Chartered Bank  
Two Gateway Center, 13th Floor  
Newark, NJ 07102  
Tel: +1 201 706 5000  
No General Fax Number

#### **Jersey City, New Jersey**

Standard Chartered Bank  
One Evertrust Plaza, 11th floor  
Jersey City, NJ, 07302  
Tel: +1 201 915 8529  
Fax: +1 201 209 0572; +1 201 209 0871

#### **Houston, Texas**

Standard Chartered Bank  
3 Riverway, Suite 1330  
Houston, Texas 77056  
Tel: +1 713 877 9588  
Fax: +1 713 877 9129

#### **Los Angeles, California**

Standard Chartered Bank  
601 South Figueroa Street, Suite 2775  
Los Angeles, CA 90017  
Tel: +1 626 639 8000  
Fax: +1 626 639 8010

#### **San Francisco, California**

Standard Chartered Bank  
50 Fremont Street, Suite 2210  
San Francisco, CA 94104  
Tel: +1 415 294 8400  
Fax: +1 415 294 8499

## **CANADA**

### **Calgary**

Standard Chartered Bank  
420, 635 8th Avenue SW  
Calgary, Alberta T2P 3M3, Canada  
Tel: +1 403 444 2804  
Fax: +1 403 444 2841

### **Toronto**

Gryphon Partners Canada Inc. I A Standard Chartered group company  
20 Adelaide Street East, Suite 1105  
Toronto, ON, M5C 2T6, Canada  
Tel: +1 416 368 0040  
Fax: +1 416 368 0046

## **CARIBBEAN**

### **Cayman Islands**

Standard Chartered Trust (Cayman) Limited  
24 Howard Street  
George Town  
Grand Cayman, KY1-1107, Cayman Islands  
Tel: +345 949 8806

Fax: +345 949 0261

Nassau, Bahamas

Please contact Standard Chartered in New York

## **LATIN AMERICA**

**Buenos Aires, Argentina**

Standard Chartered Bank  
Av. Leandro N. Alem 855 – 18th floor  
Buenos Aires (C1001AAD) Argentina  
Tel: +54 11 4875 0500  
Fax: +54 11 4875 0520

**São Paulo, Brazil**

Standard Chartered Bank  
Av. Brigadeiro Faria Lima, 3600 – 7th Floor  
04538-132 – Itaim Bibi  
São Paulo - SP - Brazil  
Tel: +55 11 2789 6800  
Fax: +55 11 2789 6894

**Santiago, Chile**

Standard Chartered Bank  
Isidora Goyenechea 3621  
10th Floor, Las Condes  
Santiago, Chile  
Tel: +562 350 67 00  
Fax: +562 350 67 48

**Bogota, Colombia**

Standard Chartered Bank  
Carrera 7 # 71-52  
Torre A Of. 702  
Bogota, Colombia  
Tel: +57 1 326 4030  
Fax: +57 1 312 0313

**We cover Panama and Central America clients from Bogota.**

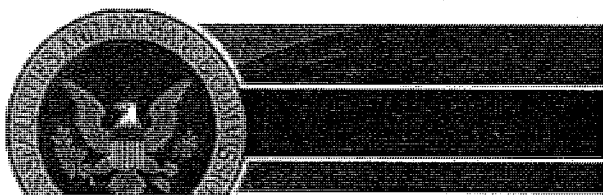
**Mexico City, Mexico**

Standard Chartered Bank  
Paseo de las Palmas 239-401  
Lomas de Chapultepec  
Mexico, D.F. 11000, Mexico  
Tel: +52 55 5387 1300  
Fax: +52 55 5387 1399

**Lima, Peru**

Standard Chartered Bank  
Av. Canaval y Moreyra Number 380, Of. 1001  
Lima 27, Peru  
Tel: + 51 1 710 8000  
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**We cover Bolivia, Ecuador, and Venezuela clients from Lima.**


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**BANK OF NEW YORK MELLON CIK#: 0001497973**  
**(see all company filings)**

State location: NY | State of Inc.: DE | Fiscal Year End: 1210

Business Address	Mailing Address
ONE WALL STREET	ONE WALL STREET
NEW YORK NY 10286	NEW YORK NY 10286
212-495-1784	

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Filings	Format	Description	Filing Date	File/Film Number
APP WD		Withdrawal of an Application for exemption [Section 12(g)] or from filing certain reports [Section 13(a)] Acc-no: 0000945621-11-000254 (40 Act) Size: 19 KB	2011-11-28	812-13823-02 111228282
40-APP/A		<b>[Amend]</b> Application for exemption and other relief filed under the Investment Company Act of 1940 Acc-no: 0000894579-11-000130 (40 Act) Size: 298 KB	2011-03-21	812-13823-02 11699460
APP WD		Withdrawal of an Application for exemption [Section 12(g)] or from filing certain reports [Section 13(a)] Acc-no: 0000894579-11-000127 (40 Act) Size: 8 KB	2011-03-21	812-13824 11699448
40-APP		Application for exemption and other relief filed under the Investment Company Act of 1940 Acc-no: 0000945621-10-000164 (40 Act) Size: 221 KB	2010-09-17	812-13824 101078546

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65 East 55th Street  
 24th Floor  
 New York, NY 10022  
 ABA Routing: 072471887  
 Fax: 1-212-339-7274

**1-212-339-7474****Driving Directions****View a Map****GENERAL CONTACT**

**John F. Hoffman**  
 President - PFS New York

**Get in Touch****1-212-339-7474**

John Hoffman was appointed President of PFS – New York in June 2011 and is responsible for managing all aspects of the market including client service, talent management, new business development and brand awareness. He joined Northern Trust in 2003 as a wealth advisor specializing in financial, estate, investment and tax planning for high-net-worth individuals, senior executives and wealthy families.

Previously, Hoffman worked at the US Trust Company for eight years, where he served as a Senior Vice President in its high-net-worth planning group. Prior to that, he worked at AYCO Company LP as a Senior Financial Planner and as a member of the firm's investment planning committee.

Hoffman holds an MBA and a BBA from Hofstra University, he also received his CIMA designation from the University of Pennsylvania's Wharton School and his CPWA designation from the University of Chicago. Hoffman is a member of the New York Society of Security Analysts and the Investment Management

Consultants Association (IMCA) and sits on the editorial advisory board for the IMCA publication Investments and Wealth Monitor. He is also active with the New York Public Library.

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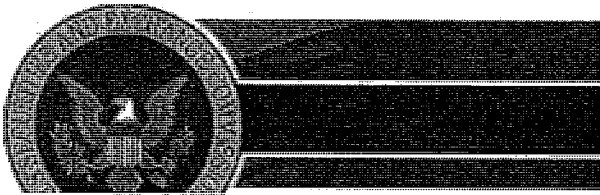
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**UBS AG NEW YORK BRANCH CIK#: 0001070765**  
**(see all company filings)**

State location: NY

 Business Address      Mailing Address  
 10 E 50TH STREET  
 NEW YORK NY 10022  
 2037198960

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Filings	Format	Description	Filing Date	File/Film Number
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0001070765-12-000004 (34 Act) Size: 2 KB	2012-10-30	028-07344 121168386
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000861177-12-000018 (34 Act) Size: 2 KB	2012-08-03	028-07344 121005444
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0001070765-12-000003 (34 Act) Size: 2 KB	2012-05-11	028-07344 12835156
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0001070765-12-000002 (34 Act) Size: 2 KB	2012-02-13	028-07344 12594361
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0001070765-11-000005 (34 Act) Size: 2 KB	2011-11-14	028-07344 111202326
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0001070765-11-000003 (34 Act) Size: 2 KB	2011-07-28	028-07344 11993591
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000861177-11-000019 (34 Act) Size: 2 KB	2011-04-04	028-07344 11732923
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0001070765-11-000001 (34 Act) Size: 2 KB	2011-01-03	028-07344 11500763
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0001070765-10-000005 (34 Act) Size: 2 KB	2010-10-01	028-07344 101101228
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0001070765-10-000004 (34 Act) Size: 2 KB	2010-07-30	028-07344 10981712
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000861177-08-000067 (34 Act) Size: 2 KB	2008-08-14	028-07344 081015758
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000861177-08-000058 (34 Act) Size: 2 KB	2008-02-13	028-07344 08601716
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000861177-07-000104 (34 Act) Size: 2 KB	2007-12-04	028-07344 071282203
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000861177-07-000087 (34 Act) Size: 2 KB	2007-05-15	028-07344 07850975
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000861177-07-000001 (34 Act) Size: 2 KB	2007-02-05	028-07344 07579830
13F-NT		Quarterly report filed by institutional managers, Notice Acc-no: 0000861177-06-000053 (34 Act) Size: 2 KB	2006-11-15	028-07344 061220516

13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000861177-06-000044 (34 Act) Size: 2 KB	2006-07-19	028-07344 06969472
13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000861177-06-000041 (34 Act) Size: 2 KB	2006-05-12	028-07344 06832704
13F-HR/A	<a href="#">Documents</a>	<b>[Amend]</b> Quarterly report filed by institutional managers, Holdings Acc-no: 0000861177-06-000039 (34 Act) Size: 2 KB	2006-04-21	028-07344 06772007
13F-NT	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Notice Acc-no: 0000861177-06-000003 (34 Act) Size: 2 KB	2006-02-10	028-07344 06596448
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0000861177-06-000001 (34 Act) Size: 153 KB	2006-01-27	028-07344 06556063
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0000861177-05-000032 (34 Act) Size: 17 KB	2005-11-21	028-07344 051216772
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0000861177-05-000027 (34 Act) Size: 18 KB	2005-08-12	028-07344 051019754
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0000861177-05-000024 (34 Act) Size: 11 KB	2005-06-07	028-07344 05882211
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13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0000861177-04-000031 (34 Act) Size: 10 KB	2004-11-17	028-07344 041153043
13F-HR/A	<a href="#">Documents</a>	<b>[Amend]</b> Quarterly report filed by institutional managers, Holdings Acc-no: 0000861177-04-000025 Size: 9 KB	2004-08-23	028-07344 04992207
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0000861177-04-000024 Size: 14 KB	2004-08-17	028-07344 04981155
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0000861177-04-000016 Size: 14 KB	2004-05-12	028-07344 04798640
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0000861177-04-000001 Size: 15 KB	2004-02-17	028-07344 04607020
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0000861177-03-000034 Size: 14 KB	2003-11-17	028-07344 031007783
13F-HR/A	<a href="#">Documents</a>	<b>[Amend]</b> Quarterly report filed by institutional managers, Holdings Acc-no: 0000861177-03-000030 Size: 13 KB	2003-08-18	028-07344 03853778
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0000861177-03-000029 Size: 11 KB	2003-08-14	028-07344 03847737
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0001070765-03-000005 Size: 10 KB	2003-05-12	028-07344 03691772
13F-HR/A	<a href="#">Documents</a>	<b>[Amend]</b> Quarterly report filed by institutional managers, Holdings Acc-no: 0001070765-03-000004 Size: 18 KB	2003-04-29	028-07344 03669606
13F-HR/A	<a href="#">Documents</a>	<b>[Amend]</b> Quarterly report filed by institutional managers, Holdings Acc-no: 0001070765-03-000003 Size: 18 KB	2003-02-18	028-07344 03571189
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0001070765-03-000001 Size: 214 KB	2003-02-18	028-07344 03569762
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0001070765-02-000004 Size: 11 KB	2002-11-14	028-07344 02828953
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0001070765-02-000003 Size: 10 KB	2002-08-13	028-07344 02730073
13F-HR	<a href="#">Documents</a>	Quarterly report filed by institutional managers, Holdings Acc-no: 0001070765-02-000002 Size: 11 KB	2002-06-05	028-07344 02671180

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New York Commercial Branch

1251 Avenue of the Americas (6th Avenue)

New York , NY 10002

Financial Services Division - East

1251 Avenue of the Americas 19th Floor

New York , NY 10020

800 418-6466



## ATM and Banking Locations - Search Results

### 1 Bank and ATM | 0.57 miles

**BROADWAY & GRAND**  
463 BROADWAY  
NEW YORK, NY, 10013  
Phone: 212-941-4440

**Lobby Hours**  
Mon-Fri 09:00 AM-07:00 PM  
Sat 11:00 AM-04:00 PM  
Sun closed

#### ATMs (2)

24 hours

#### ATM Deposit Cutoff

Mon-Fri 09:00 PM same day

Sat-Sun closed & holidays: Next business day

### 2 Bank and ATM | 1.43 miles

**JERSEY CITY DOWNTOWN**  
101 HUDSON ST  
JERSEY CITY, NJ, 07302  
Phone: 201-413-6607

**Lobby Hours**  
Mon-Wed 09:00 AM-05:00 PM  
Thu 09:00 AM-06:00 PM  
Fri 09:00 AM-05:00 PM  
Sat-Sun closed

#### ATMs (2)

24 hours

#### ATM Deposit Cutoff

Mon-Fri 09:00 PM same day

Sat-Sun closed & holidays: Next business day

### 3 Bank and ATM | 1.44 miles

**BROADWAY & 10TH**  
784 BROADWAY  
NEW YORK, NY, 10003  
Phone: 212-677-5693

**Lobby Hours**  
Mon 09:00 AM-07:00 PM  
Tue 09:00 AM-06:00 PM  
Wed 09:00 AM-07:00 PM  
Thu-Fri 09:00 AM-06:00 PM  
Sat 10:00 AM-03:00 PM  
Sun closed

#### ATMs (2)

24 hours

#### ATM Deposit Cutoff

Mon-Fri 09:00 PM same day

Sat-Sun closed & holidays: Next business day

### 4 Bank and ATM | 1.76 miles

**JERSEY CITY NEWPORT**  
145 THOMAS GANGEMI DR  
JERSEY CITY, NJ, 07310  
Phone: 201-795-7239

**Lobby Hours**  
Mon-Wed 09:00 AM-05:00 PM  
Thu-Fri 09:00 AM-06:00 PM  
Sat 09:00 AM-02:00 PM  
Sun closed

#### Drive-Up Hours

Mon-Wed 09:00 AM-05:00 PM

Thu-Fri 09:00 AM-06:00 PM

Sat 09:00 AM-02:00 PM

Sun closed

#### ATMs (2)

24 hours

#### ATM Deposit Cutoff

Mon-Fri 09:00 PM same day

Sat-Sun closed & holidays: Next business day

### 5 Bank and ATM | 1.87 miles

**NINTH AVENUE & 15TH STREET**  
66 9TH AVE  
NEW YORK, NY, 10011  
Phone: 646-336-0300

**Lobby Hours**  
Mon-Fri 09:00 AM-06:00 PM  
Sat-Sun closed

#### ATMs (2)

24 hours

#### ATM Deposit Cutoff

Mon-Fri 09:00 PM same day

Sat-Sun closed & holidays: Next business day

### 6 Bank and ATM | 1.87 miles

**SEVENTH & 17TH STREET**  
120 7TH AVE  
NEW YORK, NY, 10011  
Phone: 212-488-5180

**Lobby Hours**  
Mon 09:00 AM-06:00 PM  
Tue 09:00 AM-07:00 PM  
Wed 09:00 AM-06:00 PM  
Thu 09:00 AM-07:00 PM  
Fri 09:00 AM-06:00 PM

Sat 10:00 AM-03:00 PM  
Sun closed

ATMs (2)  
24 hours

ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

7 Bank Only | 1.92 miles  
HOBOKEN  
95 RIVER ST  
HOBOKEN, NJ, 07030  
Phone: 201-239-9659

This location is temporarily closed. For more information, please call 1-800-869-3557.

Lobby Hours  
Mon-Wed 09:00 AM-05:00 PM  
Thu-Fri 08:00 AM-06:00 PM  
Sat 09:00 AM-02:00 PM  
Sun closed

8 ATM | 2.45 miles  
PENN STATION MAIN LOBBY- AMTRAK  
7TH AVENUE & WEST 31ST  
NEW YORK, NY, 10001  
Phone: 800-869-3557

Features  
Withdrawals only - no deposits  
Wheel Chair accessible  
Talking

ATMs (3)  
24 hours

9 Bank and ATM | 2.61 miles  
MADISON & 34TH  
180 MADISON AVE  
NEW YORK, NY, 10016  
Phone: 212-213-0936

Lobby Hours  
Mon-Fri 09:00 AM-06:00 PM  
Sat 10:00 AM-03:00 PM  
Sun closed

ATMs (2)  
24 hours

ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

10 Bank and ATM | 2.83 miles  
HOBOKEN 14TH STREET  
2 14TH ST  
HOBOKEN, NJ, 07030  
Phone: 201-234-3800

Lobby Hours  
Mon-Wed 09:00 AM-05:00 PM  
Thu-Fri 09:00 AM-06:00 PM  
Sat 10:00 AM-02:00 PM  
Sun closed

ATMs (1)  
24 hours

ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

11 Bank and ATM | 2.89 miles  
SEVENTH & 39TH  
535 7TH AVE  
NEW YORK, NY, 10018  
Phone: 212-764-8260

Lobby Hours  
Mon-Fri 09:00 AM-06:00 PM  
Sat 10:00 AM-03:00 PM  
Sun closed

ATMs (3)  
24 hours

ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

12 Bank and ATM | 2.92 miles  
PARK & 40TH  
99 PARK AVE  
NEW YORK, NY, 10016  
Phone: 917-332-3620

Lobby Hours  
Mon-Fri 08:30 AM-06:00 PM  
Sat-Sun closed

ATMs (2)  
Hours vary

ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

13 ATM | 2.95 miles  
PIER 79 MIDTOWN TERMINAL  
459 12TH AVENUE  
NEW YORK, NY, 10018  
Phone: 800-869-3557

Features  
Withdrawals only - no deposits  
Wheel Chair accessible  
Sells Stamps  
Talking

ATMs (1)  
Hours vary

14 Bank and ATM | 3.04 miles  
THIRD AVENUE & 42ND STREET  
666 3RD AVE  
NEW YORK, NY, 10017

Lobby Hours  
Mon-Fri 09:00 AM-06:00 PM  
Sat 10:00 AM-03:00 PM  
Sun closed

Phone: 212-692-5060

ATMs (2)  
24 hours  
ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

15 ATM | 3.04 miles  
HBO BUILDING  
1100 AVENUE OF THE AMERICAS  
NEW YORK, NY, 10169  
Phone: 800-869-3557

Features  
Envelope-Free<sup>SM</sup> ATM  
Wheel Chair accessible  
Sells Stamps  
Talking

ATMs (4)  
24 hours

ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

16 ATM | 3.06 miles  
TIMES SQUARE SUBWAY MTA  
42ND ST TIMES SQUARE SUBWAY STATION  
NEW YORK, NY, 10036  
Phone: 800-869-3557

Features  
Envelope-Free<sup>SM</sup> ATM  
Wheel Chair accessible  
Sells Stamps  
Talking

ATMs (3)  
24 hours

ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

17 Bank and ATM | 3.11 miles  
JERSEY CITY  
356 CENTRAL AVE  
JERSEY CITY, NJ, 07307  
Phone: 201-420-8788

Lobby Hours  
Mon-Wed 09:00 AM-05:00 PM  
Thu-Fri 09:00 AM-06:00 PM  
Sat 09:00 AM-01:00 PM  
Sun closed

ATMs (2)  
24 hours

ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

18 Bank and ATM | 3.18 miles  
MADISON & 45TH STREET  
360 MADISON AVE  
NEW YORK, NY, 10017  
Phone: 212-885-6200

Lobby Hours  
Mon-Fri 09:00 AM-06:00 PM  
Sat-Sun closed

ATMs (2)  
24 hours

ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

19 Bank and ATM | 3.18 miles  
SIXTH AVENUE & 45TH STREET  
1156 AVE OF THE AMERICAS  
NEW YORK, NY, 10036  
Phone: 212-596-1400

Lobby Hours  
Mon-Fri 09:00 AM-06:00 PM  
Sat-Sun closed

ATMs (2)  
24 hours

ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

20 ATM | 3.19 miles  
PARK AVE @ GRAND CENTRAL  
230 PARK AVE.  
NEW YORK, NY, 10169  
Phone: 800-869-3557

Features  
Envelope-Free<sup>SM</sup> ATM  
Wheel Chair accessible  
Sells Stamps  
Talking

ATMs (3)  
Hours vary

ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

21 Bank and ATM | 3.22 miles  
JERSEY CITY JOURNAL SQUARE  
40 JOURNAL SQ  
JERSEY CITY, NJ, 07306  
Phone: 201-413-6677

Lobby Hours  
Mon-Wed 09:00 AM-05:00 PM  
Thu-Fri 09:00 AM-06:00 PM  
Sat 09:00 AM-02:00 PM  
Sun closed

ATMs (2)  
24 hours  
ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

22 Bank and ATM | 3.29 miles  
THIRD AVENUE & 47TH STREET  
757 3RD AVE  
NEW YORK, NY, 10017  
Phone: 917-322-6500

Lobby Hours  
Mon-Fri 09:00 AM-06:00 PM  
Sat-Sun closed

ATMs (2)  
24 hours  
ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

23 ATM | 3.31 miles  
BROADWAY AND 47TH STREET  
DOUBLETREE HOTEL  
NEW YORK, NY, 10036  
Phone: 800-869-3557

Features  
Envelope-Free<sup>SM</sup> ATM  
Wheel Chair accessible  
Sells Stamps  
Talking

ATMs (2)  
24 hours  
ATM Deposit Cutoff  
Mon-Fri 09:00 PM same day  
Sat-Sun closed & holidays: Next business day

24 ATM | 3.34 miles  
PORT IMPERIAL WEEHAWKEN TERMINAL  
4800 AVENUE & PORT IMPERIAL  
WEEHAWKEN, NJ, 07086  
Phone: 800-869-3557

Features  
Withdrawals only - no deposits  
Wheel Chair accessible  
Sells Stamps  
Talking

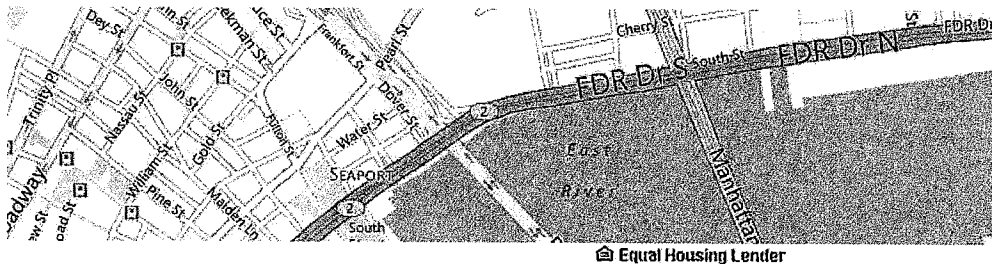
ATMs (1)  
Hours vary

25 Bank and ATM | 3.38 miles  
MADISON & 49TH STREET  
437 MADISON AVE  
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s Financial Network, LLC, Members SIPC, non-

# **EXHIBIT C**



# **TAB 1**

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

IN RE APPLICATION OF JEREMY  
OUTEN, JOHN MILSOM AND DAVID  
STANDISH, AS COURT-APPOINTED  
RECEIVERS, FOR JUDICIAL  
ASSISTANCE PURSUANT TO 28 U.S.C.  
§ 1782

DECLARATION OF JEREMY JAMES OUTEN

JEREMY JAMES OUTEN, declares, pursuant to 28 U.S.C. § 1746, as follows:

I. I currently serve, along with John Milsom and David Standish (collectively, the "Receivers"), as a Receiver appointed pursuant to an Order made by the High Court of England and Wales (the "High Court") on August 6, 2010 (as amended on November 10, 2010 and on January 26 2011) (the "Receivership Order") in the matter titled *JSC BTA Bank v. Ablyazov, et. al.* (High Court of Justice, Queen's Bench Division, Commercial Court, Claim no: 2009 Folio 1099) (the "Bank Action"). (A copy of the Receivership Order is attached as Exhibit A to this Declaration.) I submit this Declaration on behalf of the Receivers in support of our application, pursuant to 28 U.S.C. § 1782, for an order allowing the service of subpoenas on Standard Chartered Bank International (Americas) Limited ("SCB") and JP Morgan Chase Bank NA ("JPMC" and, collectively with SCB, the "Correspondent Banks").

My Background

2. I am a forensic accountant with over 19 years of forensic accounting experience. I have been a partner of KPMG in London for over ten years. I attended Cambridge University, became a chartered accountant and am currently a fellow of the Institute

of Chartered Accountants in England and Wales. My fellow Receivers are also members of KPMG in London.

3. I am currently head of KPMG's fraud and investigations group in the United Kingdom (the "U.K."). I specialize in forensic accounting work, including the tracing of assets, and have performed such services on behalf of numerous private entities and various government bodies, including law enforcement agencies. In my 19 years of experience, I have been involved in numerous international fraud and asset tracing investigations. These matters include a case involving the collapse of the Kuwait Investment Office's investments in Spain, where I was responsible for the cash and asset tracing aspects of that case. I also worked on a matter concerning the collapse of SBS-Agro in Moscow, where I investigated, through various methods including cash and asset tracing, losses of approximately \$2 billion.

#### **Introduction: the Receivership Order**

4. In February 2009, the Republic of Kazakhstan took control of JSC BTA Bank, a Kazakhstan bank (the "Bank"), due to significant concerns regarding the Bank's ability to continue as a going concern. The Bank's financial statements for the year ending December 31, 2008 recorded a negative equity of approximately \$6.1 billion. After an investigation, the Bank brought the Bank Action in the U.K. against Mr. Ablyazov (now located in the U.K.), the former Chairman of the Bank, accusing him of misappropriating over \$1 billion from the Bank.

5. The High Court initially entered an order in the Bank Action freezing Mr. Ablyazov's assets (the "Freezing Order"), pending a trial of the Bank's claims against Mr. Ablyazov. The High Court later found that the Freezing Order provided inadequate protection, and entered the Receivership Order, appointing myself and Messrs. Milsom and Standish, as

Receivers, also pending the trial of the Bank's claims. In connection with the Receivership Order, the High Court found that there was a "serious risk that Mr. Ablyazov will dissipate his assets" and that his "disclosure of assets has been evasive." (Ex. B at ¶ 36; *id.* at ¶¶ 126-27.)

6. The Receivership Order grants the Receivers the "power to take all such steps as may seem expedient to recover and preserve" the assets understood to be controlled by Mr. Ablyazov (the "Property"). (Ex. A at ¶ 5.)

7. The Receivership Order further grants the Receivers the "[p]ower to bring or defend any action or other legal proceedings in the Courts of this or any other country in order to achieve the purposes of the receivership." (Ex. A at Schedule 4, ¶ 10.)

#### The Eleven Transfers

8. The Receivership Order directs the Receivers to "receive" a variety of assets (referred to in the Order as the "Disclosed Assets" and "Undisclosed Assets"). (Ex. A at ¶¶ 1-2 and Schedules 3 and 3A.) Among other things, the Receivers have been appointed to "receive" and to "recover and preserve" funds relating to eleven individual cash transfers, totaling \$55,332,642, made between June 25 and October 24, 2008 (the "Transfers"). (Ex. A at ¶ 2.) The flow of these funds has yet to be confirmed through third party documentation, but from records my staff and I have reviewed, it appears that all of the Transfers originated with payments (the "Payments") made from the Bank to an account held by Drey Associates Limited ("Drey"), a company controlled by Mr. Ablyazov and incorporated in the U.K., at Trasta Komercbanka in Riga, Latvia ("Trasta"). It further appears that the funds subsequently passed

through a variety of different entities (and one individual) and, to my understanding, did not all return to the Bank.

9. The purported purpose for the Payments from the Bank to Drey was in relation to "compensation agreements" concerning the supposed acquisition of shares in BTA Ukraine, BTA Belarus and BTA Moscow. The total amount transferred from the Bank to Drey in relation to these agreements is believed to be \$295 million. Approximately \$240 million of that sum was ultimately returned to the Bank (hence the remaining amount of approximately \$55 million in transfers that is at issue in the Receivership Order).

10. An overview of the eleven Transfers set forth in the Receivership Order has been provided by Mr. Ablyazov in connection with the Bank Action, and is attached as Exhibit C to this Declaration. This overview suggests that the eleven Transfers were all conducted in U.S. Dollars, often in round sum amounts, and having previously passed through numerous entities over a short period of time (sometimes as little as a few days and never more than a month). Consistent with their duties under the Receivership Order, the Receivers are interested in finding out further information about—and ultimately securing the funds involved with—these Transfers.

#### The Prominence of Trasta Komercbanka

11. Through the documentation we have been provided in connection with the Bank Action and otherwise, we have identified bank accounts held in the names of some of the entities directly involved in the eleven Transfers of funds. These bank accounts therefore may be relevant to the eleven Transfers at issue in the Receivership Order.

12. Interestingly, the majority of the bank accounts we have identified are held at the same banking institution, Trasta, at which Drey held its account. The other accounts were held by a variety of entities, even though the entities themselves were registered or located outside of Latvia. (For example, an entity could be registered in Panama and yet hold a bank account with Trasta in Latvia.)

13. Given the prominence of Trasta, we believe that obtaining banking documentation relating to Trasta's activities (and the flow of funds through it) would substantially assist us in our investigation into the eleven Transfers at issue.

#### **Identification of the Correspondent Banks**

14. As described above, the eleven Transfers at issue originated with the Payments made by the Bank to Drey. The Payments were made in four tranches in June and October 2008. The Bank has disclosed the relevant banking documentation relating to these four Payments from the Bank to Drey. We have no other banking documents, however, relating to the eleven Transfers in the Receivership Order (apart from one banking transfer document relating to a payment for US\$815,000, which has been recently received and which I am currently investigating), or the flow of funds leading from the four Payments to the eleven Transfers. The four Payments thus represent the start of the trail leading to the eleven Transfers included in the Receivership Order that we seek to reconstruct.

15. Our review of the documentation confirms that the four Payments originated from the Bank and ended with Drey's bank account at Trasta as the beneficiary institution, but also involved two correspondent bank accounts and one intermediary bank account to facilitate the



processing of the Payments. A correspondent bank is typically a financial institution that provides services on behalf of another financial institution usually located in a different jurisdiction and/or financial market (one that typically does not want to bear the expense of opening its own branch in the correspondent bank's jurisdiction). Services provided by the correspondent bank can include processing transactions, accepting deposits and other services, depending on the agreement made between the two financial institutions.

16. It is not uncommon, in my experience, for banking institutions such as Trasta (a bank which has limited scope in terms of markets and geography), to use a correspondent bank and/or an intermediary bank to process its foreign currency transactions.

17. The correspondent bank account for Trasta used in two of the four Payments made to Drey was held at American Express Bank Limited (Bank Identifier Code ("BIC") AEIBUS33). I understand that American Express Bank Ltd is now SCB. The other correspondent bank account for Trasta, used in the two remaining Payments made to Drey, was held at JPMC (BIC CHASUS33).

18. Attached as Exhibits D through G to this Declaration are the banking reports relating to the four payments from the Bank to Drey, which show SCB and JPMC as the Correspondent Banks for Trasta. The Payments for which SCB acted as the Correspondent Bank were: \$50 million on June 24, 2008 (Exhibit D) and \$11,349,840 on October 3, 2008 (Exhibit E). The Payments for which JPMC acted as the Correspondent Bank were: \$876,080 on June 23, 2008 (Exhibit F) and \$83 million on June 24, 2008 (Exhibit G). In addition to noting the Correspondent Banks, these reports detail the names and accounts of the originating and beneficiary banks (BTA and Trasta, respectively), as well as Drey itself.

19. According to Mr. Ablyazov's schedule of the eleven Transfers (Exhibit C), an entity called Devesta Limited ("Devesta") subsequently received from Drey nearly all of the two Payments made to Drey via SCB as set forth above (\$50 million and \$11,341,328 (approximately \$8,500 less than the amount received by Drey)). Our findings suggest that Devesta also holds a bank account at Trasta—the same beneficiary bank to which the Payments to Drey were made.

20. Two remaining Payments to Drey were, according to Mr. Ablyazov, subsequently transferred by Drey to an entity called KSC International Limited ("KSC") (totalling \$83,776,080, or \$100,000 less than the Payments received by Drey via JPMC). We have yet to identify a banking institution which holds an account for KSC.

21. Based on the information available to us, the Receivers have compiled a list of transactions (the "Transactions") (a copy of which is attached as Exhibit H to this Declaration), that appear to be involved in tracing the funds at issue from the initial Payments to the eleven Transfers set forth in the Receivership Order.

#### **The Relevance of the Discovery Sought**

22. Given the similar characteristics of all of these movements of funds (they were generally all conducted in U.S. dollars, they were mostly in round sum amounts, and they were made over short periods of time), as well as the prominence of Trasta with respect to all of the transactions, based on my experience, I anticipate that the relevant correspondent banking documentation relating to Trasta at SCB and JPMC would not only provide further detail as to the Payments from the Bank to Drey, but might very well also shed light on the subsequent transfers from Drey to Devesta and KSC and the other Transactions. For example, the information could



potentially indicate the next stage in the fund flow following the Payments (if these beneficiary entities also held accounts at Trasta and conducted their relevant transactions in U.S. dollars). In any event, I expect the information from the Correspondent Banks may include information relevant to the Transactions which are relevant to the flow of funds relating to the eleven Transfers at issue in the Receivership Order. I therefore consider such information relevant to the cash tracing exercise the High Court has appointed the Receivers to conduct.

23. Also, in my experience, documentation from Correspondent Banks can be instrumental in conducting cash tracing investigations such as this one, particularly given that, in this case, the banking documentation is limited, and Mr. Ablyazov's explanations of the movements of the funds are vague and unclear.

#### Documents Sought by the Receivers

24. Given our findings, as detailed above, we believe that documentation from SCB and JPMC could be beneficial in helping the Receivers meet our obligations under the Receivership Order. Specifically, we seek the following materials from the Correspondent Banks:

(a) Account statements for the period June 1, 2008 through the present for the U.S. dollar correspondent bank account held at SCB on behalf of Trasta (account number 400072391) and for the U.S. dollar correspondent bank account held at JPMC on behalf of Trasta (account number 001072058); and

(b) Documents relating to each of the Transactions which, based on our current knowledge, we believe to be relevant to tracing the assets relating to the eleven Transfers at issue in the Receivership Order, including the relevant SWIFT reports or other inter-banking

communications (known as Message Types), any documentation regarding the applicable ordering/beneficiary correspondent bank, any intermediary bank and the ordering/beneficiary institution, and any other communications or correspondence regarding the Transactions.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed: London, England  
March 11, 2011



Jeremy James Outen

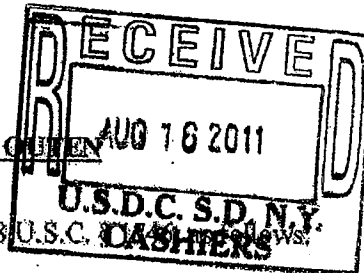
**TAB 2**

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

11 MISC 00291

IN RE APPLICATION OF JEREMY OUTEN,  
JOHN MILSOM AND DAVID STANDISH,  
AS COURT-APPOINTED RECEIVERS, FOR  
JUDICIAL ASSISTANCE PURSUANT TO  
28 U.S.C. § 1782

Civil Action No.



DECLARATION OF JEREMY JAMES OUTEN

JEREMY JAMES OUTEN declares, pursuant to 28 U.S.C. § 1782:

1. I currently serve, along with John Milson and David Standish (collectively, the "Receivers"), as a Receiver appointed pursuant to an Order made by the High Court of England and Wales (the "High Court") on August 6, 2010 (as amended on November 10, 2010, January 26, 2011, April 8, 2011, May 27, 2011 and June 9, 2011) (the "Receivership Order") in the matter titled *JSC BTA Bank v. Ablyazov, et. al.* (High Court of Justice, Queen's Bench Division, Commercial Court, Claim no: 2009 Folio 1099) (the "Bank Action"). (A copy of the Receivership Order is attached as Exhibit A to this Declaration.) I submit this Declaration on behalf of the Receivers in support of our application, pursuant to 28 U.S.C. § 1782, for an order allowing the service of a subpoena on Citibank NA ("Citibank") (known as the "Correspondent Bank").

2. This is the second such declaration I have submitted in relation to the Receivership Order (and in support of an application pursuant to 28 U.S.C. § 1782). My first declaration, dated 11 March 2011, (the "March Declaration") was in relation to Standard Chartered Bank International (Americas) Limited ("SCB") and JP Morgan Chase Bank NA ("JPMC"). (A copy of the March Declaration (without exhibits) is attached as Exhibit B to this Declaration.)

Following the service of subpoenas on SCB and JPMC (the "March Subpoenas"), various banking documents were provided by SCB and JPMC. A review of these documents has identified a need for a subpoena to be served on the Correspondent Bank.

**My Background**

3. I am a forensic accountant with over 19 years of forensic accounting experience. I have been a partner of KPMG in London for over ten years. I attended Cambridge University, became a chartered accountant and am a fellow of the Institute of Chartered Accountants in England and Wales. My fellow Receivers are also members of KPMG in London.

4. I am currently head of KPMG's fraud and investigations group in the United Kingdom (the "U.K."). I specialize in forensic accounting work, including the tracing of assets, and have performed such services on behalf of numerous private entities and various government bodies, including law enforcement agencies. In my 19 years of experience, I have been involved in numerous international fraud and asset tracing investigations. These matters include a case involving the collapse of the Kuwait Investment Office's investments in Spain, where I was responsible for the cash and asset tracing aspects of that case. I also worked on a matter concerning the collapse of SBS-Agro in Moscow, where I investigated, through various methods including cash and asset tracing, losses of approximately \$2 billion.

**Introduction: The Receivership Order**

5. In February 2009, the Republic of Kazakhstan took control of JSC BTA Bank, a Kazakhstan bank (the "Bank"), due to significant concerns regarding the Bank's ability to continue as a going concern. The Bank's financial statements for the year ending December 31, 2008 recorded a negative equity of approximately \$6.1 billion. After an investigation, the

Bank brought the Bank Action in the U.K. against Mukhtar Ablyazov (now located in the U.K.), the former Chairman of the Bank, accusing him of misappropriating over \$4 billion from the Bank.

6. The High Court initially entered an order in the Bank Action freezing Mr. Ablyazov's assets (the "Freezing Order"), pending a trial of the Bank's claims against Mr. Ablyazov. The High Court later found that the Freezing Order provided inadequate protection, and entered the Receivership Order, appointing myself and Messrs. Milsom and Standish, as Receivers, also pending the trial of the Bank's claims. In connection with the Receivership Order, the High Court found, in a Judgment dated July 16, 2010 (attached as Exhibit C), that there was a "serious risk that Mr. Ablyazov will dissipate his assets" and that his "disclosure of assets has been evasive." (Ex. C at ¶ 36; *id.* at ¶¶ 126-27.)

7. The Receivership Order grants the Receivers the "power to take all such steps as may seem expedient to recover and preserve" the assets understood to be controlled by Mr. Ablyazov (the "Property"). (Ex. A at ¶ 5.)

8. The Receivership Order further grants the Receivers the "[p]ower to bring or defend any action or other legal proceedings in the Courts of this or any other country in order to achieve the purposes of the receivership." (Ex. A at Schedule 4, ¶ 10.)

#### **The Eleven Transfers**

9. The Receivership Order directs the Receivers to "receive" a variety of assets (referred to in the Order as the "Disclosed Assets," "Undisclosed Assets," "Further Undisclosed Assets" and "Additional Undisclosed Assets"). (Ex. A at ¶¶ 1-2 and Schedules 3, 3A, 3B and 3C.) Among other things, the Receivers have been appointed to "receive" and to "recover and preserve" funds relating to eleven individual cash transfers, totaling \$55,332,642,

made between June 25 and October 24, 2008 (the "Transfers"). (Ex. A at ¶ 2.) The flow of these funds has yet to be confirmed through third-party documentation, but from records my staff and I have reviewed, it appears that all of the Transfers originated with payments (the "Payments") made from the Bank to an account held by Drey Associates Limited ("Drey"), a company controlled by Mr. Ablyazov and incorporated in the U.K., at Trasta Komercbanka in Riga, Latvia ("Trasta"). It further appears that the funds subsequently passed through a variety of different entities (and one individual) and, to my understanding, did not all return to the Bank.

10. The purported purpose for the Payments from the Bank to Drey was in relation to "compensation agreements" concerning the supposed acquisition of shares in BTA Ukraine, BTA Belarus and BTA Moscow. The total amount transferred from the Bank to Drey in relation to these agreements is believed to be \$295 million. Approximately \$240 million of that sum was ultimately returned to the Bank (hence the remaining amount of approximately \$55 million in Transfers that is at issue in the Receivership Order).

11. An overview of the Transfers set forth in the Receivership Order has been provided by Mr. Ablyazov in connection with the Bank Action and is attached as Exhibit D to this Declaration. This overview suggests that the Transfers were all conducted in U.S. dollars, often in round sum amounts, and previously passed through numerous entities over a short period of time (sometimes as little as a few days and never more than a month). Consistent with their duties under the Receivership Order, the Receivers are interested in finding out further information about—and ultimately securing the funds involved with—these Transfers.

12. Based on the information available to us, the Receivers have compiled a list of transactions (the "Transactions") (a copy of which is attached as Exhibit E to this

Declaration), that appear to be involved in tracing the funds at issue from the initial Payments to the eleven Transfers set forth in the Receivership Order.

**The Prominence of Trasta Komerbanka**

13. Through the documentation we have been provided in connection with the Bank Action, the March Subpoenas, and otherwise, we have identified the relevant bank accounts held in the names of some of the entities directly involved in the Transfers.

14. The majority of the bank accounts we have identified are held at the same banking institution, Trasta, at which Drey held its account. The other accounts were held by a variety of entities, even though the entities themselves were registered or located outside of Latvia. (For example, an entity could be registered in Panama and yet hold a bank account with Trasta in Latvia.)

15. The list of the Transactions (Exhibit E) highlights the prominence of Trasta as a bank used in relation to the Transfers and their associated cash flows. Of the twenty entities involved in the Transfers and their associated cash flows, ten of them appear to have accounts with Trasta. Given this prominence of Trasta, we believe that obtaining banking documentation relating to Trasta's activities (and the flow of funds through it) will substantially assist us in our investigation into the Transfers.

**Previous Application for Relief Pursuant to 28 U.S.C. § 1782**

16. My March Declaration submitted was in support of an application for an order allowing the service of subpoenas on JPMC and SCB. This application was granted, and the March Subpoenas were served accordingly. JPMC provided responsive documents on May 16, 2011, and SCB provided responsive documents on May 22 and May 24, 2011.



17. The March Declaration contains a detailed explanation as to the reasoning for selecting JPMC and SCB as relevant institutions. In brief, the Bank provided documents identifying JPMC and American Express Bank Ltd. (now called SCB) as two U.S. banks serving as correspondent banks for payments made by the Bank to Drey relating to the “compensation agreements” referenced in paragraph 10 above. These payments (four in total) represented the start of the trail leading to the Transfers included in the Receivership Order that we seek to reconstruct.

18. Due to the prominence of Trasta regarding the entities involved in the Transfers and their associated cash flows, and the similar characteristics of all of these movements of funds (*see* ¶ 11), I anticipated that the JPMC and SCB documents would provide not only details of the payments between the Bank and Drey but that they would also shed light on the Transactions.

19. As a result of the March Subpoenas, JPMC provided documentation (the “JPMC Documentation”) relating to five of the thirty-five Transactions. (The cover letter from JPMC is attached as Exhibit F.) For three of the Transactions, the JPMC Documentation identified a correspondence bank account (number 544702991) in the name of Raiffeisen Zentralbank Osterreich AG in Austria (“Raiffeisen”). (The JPMC Documentation identifying Raiffeisen is attached as Exhibits G -I.)

20. Thus, the JPMC Documentation shows that for each of these three transactions the ordering institution was Trasta, and Raiffeisen acted as the intermediary institution or what is sometimes referred to as the “buffer bank.” In other words, the JPMC Documentation suggests that funds passed from Trasta through Raiffeisen and then onto the beneficiary institution. JPMC became involved in these transactions because the transactions

were carried out in U.S. dollars, and Raiffeisen, according to JPMC, held a correspondent bank account with JPMC.

21. It is not uncommon, in my experience, for banking institutions such as Trasta (or Raiffeisen) (banks which have a limited scope in terms of markets and geography), to use a correspondent bank and/or an intermediary bank to process their foreign currency transactions.

22. The JPMC Documentation provides us with additional bank account details, which were unknown to us previously, for entities involved in the Transfers and their associated cash flows. For example, the JPMC Documentation includes bank account details for a company called FM Company Limited ("FM Company") which is the largest recipient of funds from the Transfers, totaling \$41.1 million in June 2008 (as listed in Exhibit E). The funds transferred to FM Company represent approximately seventy-five percent of the total funds we are to trace in accordance with the Receivership Order.

23. The JPMC Documentation is relevant not only to our cash-tracing investigation (as briefly described above regarding FM Company), but also to the much wider investigation regarding the protection and valuation of Mr. Ablyazov's assets, as stipulated by the Receivership Order. For example, we have been able to identify other potential sources of information (such as additional U.S. banking institutions), and we have been able to develop a wider investigation strategy (such as adding support to various issues including recognition of the Receivership Order in jurisdictions outside of the U.K.).

**Identification of Citibank**

24. As explained above, the JPMC Documentation provided the underlying banking detail for five of the Transactions (as identified in Exhibit E) involved in the Transfers and their associated cash flows.

25. Based upon the documentation currently provided with respect to these Transactions, I understand that all of them were carried out in U.S. dollars and therefore would have required the services of a U.S. correspondent bank. Thus, we anticipate that there are other U.S. banking institutions that would have documentation similar to that of JPMC relevant to the Transactions (excluding the five already detailed in the JPMC Documentation).

26. Given the prominence of Trasta in relation to the entities involved in the Transactions, we also anticipate that the specific U.S. banking institutions would serve a similar role to that of JPMC. In other words, these U.S. banks would act as the U.S. correspondent bank on behalf of Trasta, with the potential added involvement of an intermediary bank.

27. In order to identify other U.S. banks which may act for Trasta as correspondent banks, we have consulted various publicly-available, third-party sources including databases such as Bankers' Almanac (a provider of reference data on the banking industry), and we have conducted various searches on the internet. In my experience, the Bankers' Almanac (published bi-annually) is a reliable source of information of various details regarding banking institutions and the financial services sector in general.

28. Bankers' Almanac shows that a U.S. correspondent bank for Trasta for the period June to October 2008 was Raiffeisen Zentralbank Oesterreich AG (Raiffeisen) in Austria

(Exhibit J at 3.).<sup>1</sup> Consistent with the JPMC Documentation and the fact that Raiffeisen is not in the U.S., it appears the Bankers' Almanac report regarding Trasta details the *intermediary* banks Trasta uses for its U.S. transactions and not the ultimate U.S. banking institutions.

29. However, using Bankers' Almanac, we have identified that the U.S. correspondent banks for Raiffeisen are JPMC and Citibank (Exhibit K at 3).<sup>2</sup>

30. Thus, we understand that JPMC and Citibank are the U.S. correspondent banks which executed U.S. dollar transactions on behalf of Trasta (though Raiffeisen) from June to October 2008. This is corroborated by the information provided by the JPMC Documentation, which revealed that JPMC was holding a correspondent account on behalf of Trasta, through the intermediary bank Raiffeisen. In other words, since Citibank was the other U.S. correspondent bank for Trasta's intermediary bank Raiffeisen, we believe that Citibank, like JPMC, will have documentation relevant to the Transactions.

31. As we already have the relevant information from JPMC, obtained through the March Subpoenas, we now seek to obtain similar documentation from Citibank.

#### **The Relevance of the Discovery Sought**

32. Given the similar characteristics of all of these movements of funds (they were generally all conducted in U.S. dollars, they were mostly in round sum amounts, and they

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<sup>1</sup> Bankers' Almanac also lists Commerzbank AG as a U.S. correspondent bank for Trasta. However, we received correspondence from Amanda Parr, a Senior International Account Executive at Bankers Almanac (attached as Exhibit L), indicating that Commerzbank AG did not begin serving as a correspondent bank for Trasta until 2009 (thus post-dating the Transfers at issue). (Ex. L at 1.)

<sup>2</sup> According to Bankers' Almanac, in October 2010 Raiffeisen transferred its international banking business to Raiffeisen Bank International ("RBI"). (Ex. L at 2.) Thus, the relevant Bankers' Almanac report (dated June 2011) refers to RBI and not Raiffeisen.

were made over short periods of time), as well as the prominence of Trasta with respect to all of the transactions, I anticipate that the relevant correspondent banking documentation relating to Trasta at Citibank would provide details such as bank account numbers and jurisdictions of the Transactions. In other words, I anticipate receiving similar documentation as we have received from JPMC but concerning some of the other Transactions. I also anticipate that the information sought from Citibank will shed light on subsequent transfers beyond what we currently know (Exhibits D and E) (if these ordering entities also held accounts at Trasta and conducted their relevant transactions in U.S. dollars).

33. In any event, I expect the information from the Correspondent Bank may include information relevant to the Transactions which are relevant to the flow of funds relating to the Transfers at issue in the Receivership Order. I therefore consider such information highly relevant to the cash-tracing exercise the High Court has appointed the Receivers to conduct.

34. Also, in my experience, documentation from correspondent banks can be instrumental in conducting cash-tracing investigations such as this one, particularly given that, in this case, the banking documentation is limited, and Mr. Ablyazov's explanations of the movements of the funds are vague and unclear.

#### Documents Sought by the Receivers

35. Given our findings, as detailed above, we believe that documentation from Citibank could be beneficial in helping to meet our obligations under the Receivership Order. Specifically, we seek the following materials from the Correspondent Bank:

(a) Account statements for the period June 1, 2008 through the present for the U.S. dollar correspondent bank account held at Citibank indirectly on behalf of Trasta (account

number unknown) (with Raiffeisen as the intermediary bank (account number with Trasta being 70-55.047.617));

(b) Documents relating to each of the Transactions (other than the five transactions for which we previously received documentation from JPMC), including the relevant SWIFT reports or other inter-banking communications (known as Message Types), any documentation regarding the applicable ordering/beneficiary correspondent bank, any intermediary bank and the ordering/beneficiary institution, and any other communications or correspondence regarding those Transactions.

I declare under penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed: London, England  
August 11, 2011



Jeremy James Outen